

SRI v. ISS and Symantec

Exhibit 7 to the Proposed PreTrial Order

SRI'S List of Exhibits
L.R. 16.4(d)(6)

| Final Ex. No. | | BATES RANGE | DOCUMENT DESCRIPTION | Symantec's Objections | Basis for Admission: Response to Symantec's Objections | ISS's objections | Basis for Admission: Response to ISS's Objections | Prev. PTX No. |
|---------------|---|-------------|---|-----------------------|--|-----------------------------------|---|------------------|
| PTX- | 1 | | U.S. Patent No. 6,321,338 (Porras et al.) | | | | | 1 |
| PTX- | 2 | n/a | U.S. Patent No. 6,484,203 (Porras et al.) | | | | | 2 |
| PTX- | 3 | n/a | U.S. Patent No. 6,708,212 (Porras et al.) | | | | | 3 |
| PTX- | 4 | n/a | U.S. Patent No. 6,711,615 (Porras et al.) | | | | | 4 |
| PTX- | 5 | n/a | File History for U.S. Patent 6,321,338, Serial No. 09/188,793 | 106 | Document complete. | Document inadequately identified. | Document adequately identified. | 5 |
| PTX- | 6 | n/a | File History for U.S. Patent 6,484,203, Serial No. 09/658,137 | 106 | Document complete. | Document inadequately identified. | Document adequately identified. | 6 |
| PTX- | 7 | n/a | File History for U.S. Patent 6,708,212, Serial No. 10/429,607 | 106 | Document complete. | Document inadequately identified. | Document adequately identified. | 7 |
| PTX- | 8 | n/a | File History for U.S. Patent 6,711,615, Serial No. 10/254,457 | 106 | Document complete. | Document inadequately identified. | Document adequately identified. | 8 |

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|---------------|----|-----------------------------|--|-----------------------|--|--|---|------------------|
| PTX- | 9 | | Contents of the website at http://www.faqs.org/rfcs/ Contents of the website at http://www.rfc-editor.org/o | NT | Produced during summary judgment briefing; publicly available | Not timely; produced after the close of discovery. | Produced during summary judgment briefing; publicly available | 699 |
| PTX- | 10 | | | NT | Produced during summary judgment briefing; publicly available | Not timely; produced after the close of discovery. | Produced during summary judgment briefing; publicly available | 700 |
| PTX- | 11 | | | NT; DI | Produced during summary judgment briefing; publicly available; identification adequate | Not timely; produced after the close of discovery. | Produced during summary judgment briefing; publicly available | 703 |
| PTX- | 12 | ISS-02892 – 02904 | “EMERALD: Event Monitoring Enabling Responses to Anomalous Live Disturbances” by Phillip Porras and Peter G. Neumann | | | | | 705 |
| PTX- | 13 | SYM_P_0280634-0280644 | An e-mail dated April 15, 2005 | DM, 106 | Document complete; description accurate | 802, 901(a), 402 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 706 |
| PTX- | 14 | SRI_287656 – SRI_287659 | SRI Invention Disclosure Form for Emerald | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 733 |
| PTX- | 15 | SRI_E_0018138 | Email regarding the acceptance of the Live Traffic paper for publication | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 712 |
| PTX- | 16 | SRIE_0052257 – SRIE_0052258 | A string of emails between Gary Jackson and Phillip Porras Re Live Traffic Analysis | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 732 |

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|---------------|----|--|--|-----------------------|---|---|--|------------------|
| PTX- | 17 | SYM_P_0507977- SYM_P_0507996 | HTML version of the publication by Phillip Porras and Alfonso Valdes, Live Traffic Analysis of TCP/IP Gateways. www.web.archive.org/eb/19980124000949/www.cs.l.sri.com/emerald/live-traffic.html | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 713 |
| PTX- | 18 | | http://web.archive.org/web/19970713122019/www.isc | NT | Produced during summary judgment briefing; publicly available | Not timely; produced after the close of discovery. | Produced during summary judgment briefing; publicly available | 702 |
| PTX- | 19 | SUN 0000501-SUN 0000506; SUN 0000600-SUN 0000608 | Excerpts from SunScreen EFS, Configuration and Management Guide | | | 802 | 803; 807 | 710 |
| PTX- | 20 | | An abstract of the publication by Phillip Porras and Alfonso Valdes, Live Traffic Analysis of TCP/IP Gateways, located at web.archive.org/web/19980124003236/http://www.cs.l.sri.com/emerald/traffic-short.html | NT | Produced during summary judgment briefing; publicly available | 901(a), 802; 402, 403. Not timely; produced after the close of discovery. | Produced during summary judgment briefing; publicly available; 401; 402; 803; 807; 901 | 711 |
| PTX- | 21 | SRI_17515 – 17516 | Letter to Macchia re SRI_Network Security Patents | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802, 402, 403 | 401; 402; 803; 807; PTO para. 24 | 622 |
| PTX- | 22 | SRI_18069 – 18071 | Folder entitled Symantec re Letter to Schallup re SRI_Network Security Patents | 105, 802 | 401; 402; 803; 807; PTO para.24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 620 |
| PTX- | 23 | SRI_18075 | Letter to Schallup from Abramson re Symantec's infringement of SRI patents | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 619 |
| PTX- | 24 | SRI_18076 -18078 | Letter to Michael Schallup from Abramson re network-based intrusion | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 617 |
| PTX- | 25 | SRI_18080 | Fedex packing slip to Schallup from SRI | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 618 |

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|---------------|----|---------------------------|---|-----------------------|--|---|---|------------------|
| PTX- | 26 | SRI_2484144 -2484147 | Letter to Petty from Abramson re prior art to SRI_Patents | DI | Identification adequate | 105, 802, 402, 403, 408, document inadequately identified | 401; 402; 803; 807; PTO para. 24; identification adequate | 627 |
| PTX- | 27 | SRI_9430 – 9431 | Letter to Richard 'Macchia re SRI_Network Security Patents | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802, 402, 403 | 401; 402; 803; 807; PTO para. 24 | 621 |
| PTX- | 28 | Agabian: 449 | White Paper: Enterprise Security, Symantec, Security Information Management | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 389 |
| PTX- | 29 | SYM_P_0094364- 0094390 | Symantec Security Management System, Integrating Product Data, Requirements, Draft 1.3 | 402, 403 | 401; 402 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 380 |
| PTX- | 30 | SYM_P_0163303- 0163305 | Symantec Correlation Capabilities Rev 3 | 106, 402, 403 | 401; 402 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 378 |
| PTX- | 31 | SYM_P_0281834- 0281836 | Email thread btwn Schwarz and Smith re Security Information Manager 4.0 installed and performing well | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 390 |
| PTX- | 32 | SYM_P_0285648- 0285684 | Symantec, Draft, SIMA 4.0, Minardi, F24H, Walkthrough | 901(a) | 901; PTO para. 24 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 392 |
| PTX- | 33 | SYM_P_0286099- 0286152 | Global Technical Training, Symantec Security Information Manager Overview, Costco | 901(a) | 901; PTO para. 24 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 385 |
| PTX- | 34 | SYM_P_0286663- 0286704 | Symantec Response for JPMorgan Chase Security Information and Even Management RFI | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 393 |
| PTX- | 35 | SYM_P_0288551- 0288587 | Symantec Security Information Manager 4.0; Product Functional Requirements – Draft | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 384 |
| PTX- | 36 | SYM_P_0364958- 0364997 | Symantec Security Management Strategy Transformation FAQ | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 382 |
| PTX- | 37 | SYM_P_0368978- 0369898 | Symantec Incident Manager, SSMS Solution General RFI | 402, 403 | 401; 402 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 379 |
| PTX- | 38 | SYM_P_0370343- 0370644 | Symantec Security Information, Manager 4.0, Support Team Training, Student Guide | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 388 |
| PTX- | 39 | SYM_P_0372617- 0372666 | Unit 5, Symantec Incident Manager | 106 | Document complete | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 381 |
| PTX- | 40 | SYM_P_0375535- 0375571 | Managing incidents with Symantec Security Information Manager 4.0, Support Team – Minardi Training Course | 106, 403 | 401; 402 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 387 |
| PTX- | 41 | PNC 001-PNC 039 | Statement of work between PNC and Symantec | 901(a) | 901; PTO para. 24 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901 | 468 |

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|---------------|----|------------------------|---|-----------------------|--|-----------------------------|---|------------------|
| PTX- | 42 | PNC 040-PNC 071 | Symantec Sales Quote | 901(a) | 901; PTO para. 24 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901 | 469 |
| PTX- | 43 | PNC 72-102 | White Paper provided by Symantec explaining real time security monitoring | 901(a) | 901; PTO para. 24 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901 | 470 |
| PTX- | 44 | Bagley: 200 | Data Sheet: Security Technology Symantec Network Security 7100 Series | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901 | 184 |
| PTX- | 45 | Bagley: 201 | Data Sheet: Symantec Gateway Security 5600 Series | | | 402, 901(a), 802, 403, 105. | 401; 402; 801; 803; 807; 901; Description accurate | 185 |
| PTX- | 46 | SYM_P_0030544-0030552 | How ManHunt Detects Threats | 802, 901(a) | 801; 803; 807; 901; PTO para. 24 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 175 |
| PTX- | 47 | SYM_P_0031901-0031933 | How ManHunt Detects Threats | 901(a) | 901; PTO para. 24 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 161 |
| PTX- | 48 | SYM_P_0094447-0094457 | Global Marketing Communications Plan –FINAL Symantec ManHunt 3.0 | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 180 |
| PTX- | 49 | SYM_P_0096718-0096749 | Symantec ManHunt Product Launch Kit, Enterprise Edition | 402, 403 | 401; 402 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 176 |
| PTX- | 50 | SYM_P_0097694-0097711 | Symantec ManHunt Product Launch Guide, Enterprise Edition | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 177 |
| PTX- | 51 | SYM_P_0195825-47 | Symantec Proposal to XXXX for Intrusion Protection | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 168 |
| PTX- | 52 | SYM_P_0200430-0200442 | SNS 7100 Deployment/ Evaluation Strategies | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 166 |
| PTX- | 53 | SYM_P_0237443-458 | White Paper: Enterprise Solutions Effective Intrusion Prevention: Protecting Networks Against the Threats of Today and Tomorrow | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 183 |
| PTX- | 54 | SYM_P_03055325-0305532 | Technical FAQ; Symantec Network Security 7100 Series Appliances FAQs | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 163 |
| PTX- | 55 | SYM_P0104932-0104936 | Email thread from Bagley to Wheeler re SNS Correlation technote – DRAFT1 | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 164 |
| PTX- | 56 | SYM_P_0015029-0015162 | Symantec Network Security User Guide | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 43 |
| PTX- | 57 | SYM_P_0019204-0019214 | ManHunt Data Processing- Jeremy Bennett | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 35 |
| PTX- | 58 | SYM_P_0036739-0036749 | Symantec SNS 4.0 Sensor Architecture | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 30 |
| PTX- | 59 | SYM_P_0036889-0036893 | Paper: Symantec ManHunt detection | 901(a) | 901; PTO para. 24 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 32 |

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|---------------|----|-----------------------|--|-----------------------|--|----------------------------|---|------------------|
| PTX- | 60 | SYM_P_0037107-0037119 | Recourse Technologies ManHunt; Reducing the Risk of Compromise | 802, 402, 403 | 401; 402; 801; 803; 807; PTO para. 24 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 20 |
| PTX- | 61 | SYM_P_0037350-0037365 | Symantec Network Detection Components | 106 | Document complete | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 24 |
| PTX- | 62 | SYM_P_0037480-0037501 | Symanted Blackbird 4.0 (Ursa Major Detection Engine) Product Functional Requirement" Revision 0.97 | 106 | Document complete | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 28 |
| PTX- | 63 | SYM_P_0037697-0037727 | Symantec "ManHunt's Layered Detection" Jeremy Bennett | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 29 |
| PTX- | 64 | SYM_P_0048572-0048593 | Symantec Corporation ManHunt Intrusion Detection System | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 22 |
| PTX- | 65 | SYM_P_0048766-0048815 | Symantec ManHunt System Training Robert Geiger | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 27 |
| PTX- | 66 | SYM_P_0049428-0049461 | Symantec "Ballymeade/Captiva PFR" | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 40 |
| PTX- | 67 | SYM_P_0049531-0049559 | Symantec Ursa Major (ManHunt 4.0) Product Functional Requirements Revision 1.3 | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 26 |
| PTX- | 68 | SYM_P_0049758-0049781 | Recourse Technologies ManHunt Training Introduction Module, Version 2.11 | 802, 402, 403, 901(a) | 401; 402; 801; 803; 807; 901; PTO para. 24 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 31 |
| PTX- | 69 | SYM_P_0050683-0050707 | Symantec Corp ManHunt Intrusion Detection System | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 25 |
| PTX- | 70 | SYM_P_0162871-0162882 | Ursa Major (ManHunt 4.0) High Level Design Document by Mark Janazura | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 36 |
| PTX- | 71 | SYM_P_0291151-0291586 | "Manhunt Setup, Application and Maintenance" | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 44 |
| PTX- | 72 | SYM_P_0330418-0330443 | Symantec "NGS Quarterly Business Review SNS and SGS Product Lines" | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 41 |
| PTX- | 73 | SYM_P_0531454-0531503 | Symantec ManHunt 3.0 Technical Evaluation and NSS Group Report | 802, 402, 901(a) 403 | 401; 402; 803; 807; 901 | 402, 901(a), 802, 403, 105 | 401; 402; 803; 807; 901 | 34 |
| PTX- | 74 | SYM_P_025584-025598 | Aberdeen Group Financial White paper | 901(a), 802, 402, 403 | 401; 402; 803; 807; 901 | 402, 901(a), 802, 403, 105 | 401; 402; 803; 807; 901 | 241 |
| PTX- | 75 | SYM_P_550390-550465 | Symantec 2003 annual report | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 242 |
| PTX- | 76 | ISS_00551862-00551863 | Anomaly Detection Demo email | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | 402 | 401; 402 | 297 |
| PTX- | 77 | SRIE_0005021 | Email thread Valdes to Porras re EMERALD | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 402, 901(a), 802, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 55 |
| PTX- | 78 | SRIE_0082984 | Email Moran to Porras | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 402, 901(a), 802, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 57 |

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|---------------|----|----------------------------------|---|-----------------------|--|--|---|------------------|
| PTX- | 79 | SYM_P_0046322-0046364 | Symantec Network Security 7100 Series, Project Galileo, Commit to Solution Presentation, EMEA 4-15-04 | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 59 |
| PTX- | 80 | SYM_P_0049069-0049071 | ManHunt Enterprise, Architecture & Requirements [Draft] | 802, 402, 403, 901(a) | 401; 402; 801; 803; 807; 901; PTO para. 24 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 52 |
| PTX- | 81 | SYM_P_0050652-0050659 | White Paper: Why is Symantec's Approach to IPS best? | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 67 |
| PTX- | 82 | SYM_P_0051642-0051647 | Email thread, Kumar to Bennett, Smith, et al re ManHunt | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 61 |
| PTX- | 83 | SYM_P_0091887-0091889 | Suzuki email to recoursesupport@symantec.com | 402, 403 | 401; 402 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 63 |
| PTX- | 84 | SYM_P_0131214-0131222 | Third Party Event Reception for ManHunt, Mike Lyle, Bob Geiger | 802, 402, 403, 901(a) | 401; 402; 801; 803; 807; 901; PTO para. 24 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 66 |
| PTX- | 85 | SYM_P_0335497-03355537 | Symantec ManHunt v2.11, Technical Evaluation, An NSS Group Report | 802, 901(a), 403, 402 | 401; 402; 803; 807; 901 | 402, 901(a), 802, 403, 105 | 401; 402; 803; 807; 901 | 70 |
| PTX- | 86 | SYM_P_0408752-0408780 | Symantec, Brighton, Acquisition of High Speed Intrusion Detection | 901(a) | 901; PTO para. 24 | 901(a), 802; 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 76 |
| PTX- | 87 | Geyer: 272 | Notes from site visit to Symantec MSS site | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 249 |
| PTX- | 88 | SYM_P_0542554-0542563 | Email string from Andy Sell to Angela Halliwell and Tony McDonald re favor | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 248 |
| PTX- | 89 | Griswold: 343 (ISS_02125736-742) | Source code for attack for compromised host rule | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | Description mischaracterizes document. | Identification adequate. Description accurate. | 317 |
| PTX- | 90 | Griswold: 344 (ISS_02125743-860) | Compilation of source code documents | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | Description mischaracterizes document. | Identification adequate. Description accurate. | 318 |
| PTX- | 91 | ISS_00060287-00060300 | Fusion Update August 9th, 2002 | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO Para. 24 | | | 312 |

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|---------------|-----|-------------------------|--|----------------------------|--|--|---|------------------|
| PTX- | 92 | ISS_00525596-00525600 | Project Status Report | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO Para. 24 | 402 | 401; 402 | 310 |
| PTX- | 93 | ISS_00535783-821 | Security Fusion Module 2.0 Tech Support Chalk Talk | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | | | 313 |
| PTX- | 94 | ISS_00541610-00541631 | Development Stories RealSecure Fusion Sensor 2.0 | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | 402 | 401; 402 | 311 |
| PTX- | 95 | ISS_00541834-00541847 | Market Requirements Document RealSecure Fusion Sensor 2.0 | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | 802, 402 | 401; 402; 801; 803; 807; PTO para.24 | 309 |
| PTX- | 96 | ISS_0068764-0068778 | Attack Definitions | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | 402. Illegible, at least part. | 401; 402; Description accurate; sufficiently legible | 307 |
| PTX- | 97 | ISS2_01445521-001445522 | Email string btwn Griswold, Ward, and Craig re quick questions | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | 402; 802. | 401; 402; 801; 803; 807; PTO para. 24; description accurate | 315 |
| PTX- | 98 | Hall: 500 | Proventia Enterprise Security Platform (ESP) | 802, 403, 402, 901(a), 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | Cumulative, 802. | 401; 402; 801; 803; 807 | 428 |
| PTX- | 99 | Hall: 512 | RealSecure® Network Gigabit datasheet from iss.net | | | Description mischaracterizes document. | Description accurate | 440 |
| PTX- | 100 | Hall: 513 | ISS SiteProtector 2.0 Comparison Guide for RealSecure Workgroup Manager (http://documents.iss.net/literature/SiteProtector/WG-MTORSSPCcomparison.pdf) | | | 106 | Document complete. | 441 |
| PTX- | 101 | SYM_P_0049596-0049645 | Symantec; The Complete ManHunt | 402, 403 | 401; 402 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 198 |
| PTX- | 102 | SYM_P_0050539-0050549 | Symantec ManHunt Release History | 901(a) | 901; PTO Para. 24 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 200 |

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| PTX- | 103 | SYM_P_0162988-0163028 | Symantec; ManHunt Tech Exchange | 402, 403 | 401; 402 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 196 |
| PTX- | 104 | SYM_P_0163086-0163100 | Symantec; ManHunt Architecture | 402, 403 | 401; 402 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 197 |
| PTX- | 105 | Innes: 434 | Product Lifecycle Announcement | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | | | 366 |
| PTX- | 106 | Innes: 435 | Proventia Network Anomaly Detection System data sheet | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | Description mischaracterizes document. | Description accurate | 367 |
| PTX- | 107 | Innes: 436 | Proventia Network Anomaly Detection System User Guide Version 3.5 | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | | Description accurate | 368 |
| PTX- | 108 | SYM_P_0176251-SYM_P_0176253 | Q & A re Manhunt's intrusion detection technology | 901(a), 106, 402, 403, 802 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 676 |
| PTX- | 109 | SYM_P_0047130-047173 | Symantec; Ursa Major; Requirements Checkpoint Presentation | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 138 |
| PTX- | 110 | SYM_P_0060121-0060152 | Symantec; ManHunt 3.0/ Orion; Product Functional Requirements | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 132 |
| PTX- | 111 | SYM_P_0060336-0060396 | Symantec; Orion – Symantec ManHunt 3.0, Requirement Checkpoint/ Commit to Solution | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 133 |
| PTX- | 112 | SYM_P_0117528-0117548 | Symantec; Symantec ManHunt 3.0 | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 136 |
| PTX- | 113 | SYM_P_0021599-0021663 | Ballymeade Project Commit to Solution Checkpoint | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 148 |
| PTX- | 114 | SYM_P_0066290-0066304 | Symantec Gateway Security 5400 Series, Version 1.0a Product Frequently Asked Questions | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 152 |
| PTX- | 115 | SYM_P_0110838-0110841 | Symantec Gateway Security 1600 Series, Mini-Me Project | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 160 |
| PTX- | 116 | SYM_P_0147611-0147648 | Everything you wanted to know about IDS/IPS but were afraid to ask | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 159 |
| PTX- | 117 | SYM_P_0157750-0157765 | Asbestos (Blackbird) Product Functional Requirements | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 150 |
| PTX- | 118 | SYM_P_0190615-0190649 | Reviewer's Guide Symantec Gateway Security Appliance 5400 Series | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 153 |

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| PTX- | 119 | SYM_P_0210910-0210912 | IDS Guidance for Sugarbush | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 156 |
| PTX- | 120 | SYM_P_0383613-0383763 | Symantec Enterprise Firewall, Symantec Enterprise Firewall with BPN, Symantec Gateway Security Symantec Client VPN Functional Specification, Code Name: Ballymeade/Captive | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 149 |
| PTX- | 121 | SYM_P_0442847-00442954 | Sugarbush Product Functional Requirements | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 155 |
| PTX- | 122 | SRI_163635 – 163674 | Emerald Net – IDS Appliance User's Guide Ver. 2.0 and related EMERALD Network Appliance | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 516 |
| PTX- | 123 | SRI_88192 – 88203 | Presentation re Emerald Business Strategy Framework | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 522 |
| PTX- | 124 | SYM_P_0032023-0032031 | Symantec, ManHunt Appliance 1.0, Galileo, High Level Design Document (HLDD) | | | 901(a), 802, 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 78 |
| PTX- | 125 | SYM_P_0032054-0032058 | Symantec, ManHunt Appliance 1.0, Galileo, In-Line, Monitoring High Level Specifications | | | 901(a), 802, 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 79 |
| PTX- | 126 | SYM_P_0034964-0034981 | Galileo, Performance Test Plan | | | 901(a), 802, 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 85 |
| PTX- | 127 | SRIE_2225 | Email from Winarsky to Mark et al re Nokia | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 610 |
| PTX- | 128 | ISS48496-48511 | ISS Product Line Architecture: A Glossary | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | 402 | 401; 402 | 123 |
| PTX- | 129 | McEwin: 122 | Proventia A Series | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | | | 125 |
| PTX- | 130 | McEwin: 123 | Proventia G line products Data Sheet | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | | | 126 |

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| PTX- | 131 | GAP 00019-GAP 00048 | Symantec Master Services Agreement | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901 | 265 |
| PTX- | 132 | GAP 00108-GAP 00179 | Symantec Enterprise Security Solutions Proposal for GAP Inc. | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901 | 269 |
| PTX- | 133 | SRI_44834 | Email from Neumann to Lunt re Intrusion detection, survivability | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 602 |
| PTX- | 134 | SRI_75871 – 75873 | Email from Neumann re software Engineer | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 607 |
| PTX- | 135 | ISS_02125720- 02125735 | Module from the Network Sensor - RS_PAM_NetworkSensor/Dev/RealSecure/Sensor- dll/issPAMSensorLib/issPamSensor.h | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | | Description accurate | 375 |
| PTX- | 136 | ISS_17243-18096 | RealSecure Signatures Reference Guide, Version 6.5 | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | | | 377 |
| PTX- | 137 | ISS_20425690- 2125728 | Source Code RS_PAM_NetworkSensors/dev/RealSecure/Sensor_d ll/issPamSensorLib/issPamSensor.cpp | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | Description mischaracterizes document. | Description accurate | 376 |
| PTX- | 138 | SYM_P_0517609- SYM_P_0517610 | Email, Horvath to Little and Castles, 09/20/02 | 402, 403 | 401; 402 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 276 |
| PTX- | 139 | ARB00660-00692 | Amendment No. 1 to License and Distribution Agreement btwn Arbor Networks, Inc. and ISS- GA | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 901 | 402, 802, 403 | 401; 402; 801; 803; 807 | 657 |
| PTX- | 140 | ARB00693-00740 | Proventia Network Anomaly Detection System Quick Start Guide Version 3.5 | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 901 | 802 | 801; 803; 807 | 662 |
| PTX- | 141 | Morville: 537 | Arbor Networks Press Release: News & Events from their website | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 901 | 402, 802, 403; 901(a) | 401; 402; 801; 803; 807; 901 | 658 |
| PTX- | 142 | Morville: 538 | Arbor Networks' Peakflow solutions ensure the security and operational integrity of the world's most critical networks; from website~Corporate Profile page | 105, 402, 403, 802, 901(a) | 401; 402; 803; 807; 901 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901 | 659 |
| PTX- | 143 | Morville: 548 | U.S. Patent Application Pub. No. 2005/0005017 | 105, 402, 403, 802, 901(a) | 401; 402; 803; 807; 901; 902 | 402, 403, 802 | 401; 402; 803; 807 | 667 |
| PTX- | 144 | SRI_105503 - 105524 | Summary of Advanced Network Security and Information Warfare Research in CSL/SRI_by Porras | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 596 |

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| PTX- | 145 | SYM_P_05355485 – 535497 | EMERALD: Event Monitoring Enabling Responses to Anomalous Live Disturbances by Porras And Neumann | DI | Identification adequate | Document inadequately identified. | Identification adequate. | 540 |
| PTX- | 146 | Pruss: 231 | ISS – Advanced SiteProtector | 105, 402, 403, 802 | 401; 402; 801; 803; 807 | | | 213 |
| PTX- | 147 | ISS04439-0440 | RealSecure Server Sensor marketing brochure | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | Description mischaracterizes document. | Description accurate | 115 |
| PTX- | 148 | ISS_05991-059989 | RealSecure SiteProtector Security Fusion Module 2.0 Frequently Asked Questions | 105; 402; 403; 802; multiple documents | 401; 402; 801; 803; 807; PTO para. 24 | 402,cumulative. Description mischaracterizes document. Document inadequately identified. | 401; 402; description accurate; identification adequate | 372 |
| PTX- | 149 | ISS16455-16466 | RealSecure Server Sensor FAQ | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | | | 118 |
| PTX- | 150 | ISS2_00105902-04 | The Protocol Analysis Module | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | 106 | Document complete | 117 |
| PTX- | 151 | ISS2_00653565+C178 | Meet with Dell email | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | 402, 802 | 401; 402; 801; 803; 807; PTO para. 24 | 109 |
| PTX- | 152 | HEALTHSOUTH 028 | Security Network design diagram | 105, 402, 403, 802, 901(a) | 401; 402; 803; 807; 901 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901 | 443 |
| PTX- | 153 | SYM_P_0548994- 0549907 | Network Surveillance by Porras et al | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 656 |
| PTX- | 154 | M00001-00050 | Managed Security Services Agreement | 901(a) | 901 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901 | 462 |
| PTX- | 155 | M00070-00083 | Symantec Invoice | 901(a) | 901 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901 | 463 |
| PTX- | 156 | M00225-00260 | Symantec MSS Implementation: Implementation Method of Procedure | 901(a) | 901 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901 | 467 |

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| PTX- | 157 | ISS05920-21 | SiteProtector Security Fusion Module datasheet | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | Description mischaracterizes document (more pages included in exhibit than listed). | Description accurate. | 92 |
| PTX- | 158 | ISS2_01396595-615 | RealSecure SiteProtector Security Fusion Module presentation; Holly Turner | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | | | 93 |
| PTX- | 159 | ISS68417-68431 | Third-Party Module 2003 Project Plans | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | 402, 802 | 401; 402; 801; 803; 807; PTO para.24 | 103 |
| PTX- | 160 | ISS68461-68476 | RealSecure SiteProtector Security Fusion Module Presentation- Holly Turner | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | | | 100 |
| PTX- | 161 | ISS68487-68505 | RealSecure SiteProtector Security Fusion Module presentation; Holly Turner | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | | | 94 |
| PTX- | 162 | ISS68535-68551 | ISS: "Fusion Less is More" Holly Turner and Carolyn Reuss | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | 802, 402, 403 | 401; 402; 801; 803; 807; PTO para. 24 | 96 |
| PTX- | 163 | ISS68738-69746 | Security Fusion Module Upcoming Releases and Competitive Info Presentation- Holly Turner | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | 802, 402, 403. Description mischaracterizes document. | 401; 402; 801; 803; 807; PTO para. 24; Description accurate | 99 |
| PTX- | 164 | SYM_P_0047989- 0047991 | Symantec IDS & IPS capability in SNS 7100 Series vs. SGS 5400 Series | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 47 |
| PTX- | 165 | SYM_P_0020060- 0020142 | Symantec Gateway Security 5400 Technical Briefing Tasha Van Es | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 229 |
| PTX- | 166 | Van Es: 248 | Introduction to Symantec Incident Manager | 402, 403 | 401; 402 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 230 |
| PTX- | 167 | SYM_P_0283903- SYM_P_0283916 | Symantec Security Information Manager 9500 Series | | 401; 402 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 233 |
| PTX- | 168 | | "Computer Security research and Development at SRI_International" article | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 648 |
| PTX- | 169 | SRI_051898- 51903 | "Knowledge-Based Intrusion Detection" article | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 646 |

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| PTX- | 170 | SRI_142069- SRI_142154 | "Detecting Unusual Program Behavior Using the Statistical Component of the Next-generation Intrusion Detection expert System (NIDES)" | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 650 |
| PTX- | 171 | TL 0010-0021 | "IDES: An Intelligent System for Detecting Intruders" article | 105, 802 | 401; 402; 803; 807 | 105, 802 | 401; 402; 803; 807 | 647 |
| PTX- | 172 | SRI_047974-47984 | SRI_IDES Statistical Anomaly Detector by Javitz, Valdes and Tamaru | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 541 |
| PTX- | 173 | SRI_13361-13404 | Darpa Order D985-Award/Contract | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 545 |
| PTX- | 174 | SRI_48679-48694 | Emerald- Statistical-Analyzer TCP Monitor Documentation | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 569 |
| PTX- | 175 | SRIE_18565 | Valdes email to Porras re Stats work | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 554 |
| PTX- | 176 | SRIE_274329-274414 | MIT Lincoln Laboratory Offline Component of the 1999 DARPA Intrusion Detection Evaluation | | | 105, 802 | 401; 402; 803; 807 | 581 |
| PTX- | 177 | SRIE_399156-399159 | Lunt email to Valdes and others re Tentative Agenda for DARPA-Intrusion Detection Conference | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 566 |
| PTX- | 178 | SRIE_449229-449232 | Neumann email to Porras Valdes, Gilham, Frivold, Japan re Notes on New NIDES; SRI Proprietary | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 546 |

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| PTX- | 179 | SRIE_53628 | Valdes email to Porras and Neumann re Frank Jou meeting | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 561 |
| PTX- | 180 | SYM_P_554967 | Article titled, History re SRI | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 568 |
| PTX- | 181 | SYM_P_68937-68942 | Statistical Methods for Computer Usage Anomaly Detection Using NIDES | | | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 571 |
| PTX- | 182 | SYM_P_79206-79370 | SRI_International- Final Technical Report | | | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 575 |
| PTX- | 183 | SYM_P_0159818-0159845 | American Express- Resource Technologies | 802, 402, 403 | 401; 402; 801; 803; 807; PTO para. 24 | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 251 |
| PTX- | 184 | Bennett: 41 | List of resellers and end users of Symantec (Appendix I to Interrogatory Responses) | | | 402, 901(a), 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 42 |
| PTX- | 185 | ISS_01744269 | ISS Financial data | Partially illeg. 106, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; PTO para. 24; document complete; sufficiently legible | 802, 901(a), 402, 403. Illegible, at least in part. Description mischaracterizes document. | 401; 402; 801; 803; 807; 901; PTO para. 24; description accurate; sufficiently legible | 922 |
| PTX- | 186 | ISS_01832561 | ISS Financial data | Partially illeg. 106, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; PTO para. 24; document complete | 802, 901(a), 402, 403. Illegible, at least in part. Description mischaracterizes document. | 401; 402; 801; 803; 807; 901; PTO para. 24; Description accurate; sufficiently legible | 923 |
| PTX- | 187 | ISS_11292-299 | Proventia Security Product Family brochure | 901(a), 802, 402, 403 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 802, 402, 403 | 401; 402; 801; 803; 807; PTO para. 24 | 812 |
| PTX- | 188 | ISS_20657-ISS_20662 | ISS WGM to SP comparison guide- Figures and Appendix | 105, 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | 802, 402, 403 | 401; 402; 801; 803; 807; PTO para. 24 | 847 |

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| PTX- | 189 | ISS_21064-66 | Proventia Intrusion Prevention Appliance brochure | 901(a), 802, 402, 403 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 802, 402, 403 | 401; 402; 801; 803; 807; PTO para. 24 | 811 |
| PTX- | 190 | ISS_353052- 353405 | ISS RealSecure 5.5 Student Guide | 802, 901(a), 402, 403 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 802, 402, 403 | 401; 402; 801; 803; 807; PTO para. 24 | 816 |
| PTX- | 191 | ISS_4387-4393 | Proventia Enterprise Security Platform (ESP) brochure | DM, 106, 901(a), 802, 402, 403 | 401; 402; 801; 803; 807; 901; PTO para. 24; description accurate | 802, 402, 403, 106. Document inadequately identified. | 401; 402; 801; 803; 807; PTO para. 24; identification adequate; document complete | 813 |
| PTX- | 192 | ISS_541632-541642 | ISS Market Requirements Document Security Fusion Module 2.0 | 802, 402, 403 | 401; 402; 801; 803; 807; PTO para. 24 | 802, 402, 403 | 401; 402; 801; 803; 807; PTO para. 24 | 808 |
| PTX- | 193 | ISS_592180- 592291 | License and Distrubution Agreement dated August 12, 2005 between Arbor Networks and ISS | 802, 901(a), 402, 403 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 802, 402, 403 | 401; 402; 801; 803; 807; PTO para. 24 | 814 |
| PTX- | 194 | ISS02126097 | The EMERALD Project Current Downloads as of (9/4 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | (more pages included in exhibit than listed) | | 825 |
| PTX- | 195 | ISS04149-04157 | Heberlein, Dias, Levitt, Mukherjee, Wood, and Wolber, A Network Security Monitor | | | | | 890 |
| PTX- | 196 | ISS06011-06017 | "RealSecure Guard FAQ" | 402; 403; 802; 105 | 401; 402; 801; 803; 807; PTO para. 24 | 802, 402 | 401; 402; 801; 803; 807; PTO para. 24 | 1225 |
| PTX- | 197 | ISS23541-557 | Mukherjee, Heberlein, and Levitt, Network Intrusion Detection | | | | | 1236 |

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|---------------|-----|-------------------------|--|---|---|---|--|------------------|
| PTX- | 198 | N/A | Symantec Buys Spam Killer, gets Street Cred http://www.baselinemag.com/article.2/0,1540,1981894,00.asp | 802, 403, 402, 901(a) | 401; 402; 803; 807; 901; 902 | 802,403, 402, 901(a), 105.Document inadequately identified. | 401; 402; 803; 807; 901; 902; identification adequate | 779 |
| PTX- | 199 | SRI_000001-000052 | "RealSecure Network Sensor and Gigabit Network Sensor Frequently Asked Questions" | Illegible, 105, 106, 402, 403, 802, 901(a) | Sufficiently legible; 401; 402; complete; 801; 803; 807; 901; PTO para.24 | 802, 901(a), 402, 106.Illegible, at least in part. | 401; 402; 801; 803; 807; 901; PTO para. 24; sufficiently legible | 879 |
| PTX- | 200 | SRI_00001-9 | RealSecure Network Sensor and Gigabit Network Sensor FAQs | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 901; PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 850 |
| PTX- | 201 | SRI_000020-33 | ISS Enhanced Dynamic Threat Protection via Automated Correlation and Analysis | 105,402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 901; PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 851 |
| PTX- | 202 | SRI_000034-35 | RealSecure 10/100 brochure | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 901; PTO para. 24 | 802, 901(a), 106. Illegible, at least in part. | 801; 803; 807; 901; PTO para. 24; sufficiently legible; document complete | 852 |
| PTX- | 203 | SRI_000035-36-37 | RealSecure Network Gigabit brochure | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 901; PTO para. 24 | 802, 901(a), 106. Illegible, at least in part. | 801; 803; 807; 901; PTO para. 24; sufficiently legible; document complete | 853 |
| PTX- | 204 | SRI_000047-48 | SiteProtector Third Party Module brochure | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 901; PTO para. 24 | 802, 901(a), 106.Illegible, at least in part, 402, 403. | 401; 402; 801; 803; 807; 901; PTO para. 24; sufficiently legible; document complete | 854 |
| PTX- | 205 | SRI_000049-520 | Site Protector Third Party Module Frequently Asked Questions | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 901; PTO para. 24 | 802, 901(a), 106, 403, 402 | 401; 402; 801; 803; 807; 901; PTO para. 24; document complete | 855 |
| PTX- | 206 | SRI_05165688- 051693 | EMERALD Site-wide Correlation Engine | 105, 402, 403, 802, DM | 401; 402; 803; 807; 901; PTO para. 24 | 105, 802. Document inadequately identified. | 401; 402; 803; 807; PTO para. 24; identification adequate | 1270 |

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|---------------|-----|---------------------------|---|---|--|---|--|------------------|
| PTX- | 207 | SRI_110331-110357 | Threat Analytics- A Cybershield Initiative version 2-012-04, 0, 4 | 802, 403, 402, 901(a) | 401; 402; 803; 807; 901; PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 1305 |
| PTX- | 208 | SRI_112470 | Abstract of Live Traffic Analysis of TCP/IP Gateways by Porras and Valdes | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 819 |
| PTX- | 209 | SRI_277983 -277997 | EMERALD eXpert/estat Draft 0.1 | DM, 802, 105, 106 | 401; 402; 803; 807; PTO para. 24; description accurate; document complete | 106, 403, 802. Description mischaracterizes document. | 401; 402; 803; 807; PTO para. 24; description accurate; document complete | 742 |
| PTX- | 210 | SRI_287660- SRI_287662 | USPTO Notice of Allowance and Fees Due re Application 10/429,611 | 106, 402, 403, 802,901(a) | 401; 402; 803; 807; 901; document complete | 402, 802, 901(a), 403, 106 | 401; 402; 803; 807; 901; document complete | 829 |
| PTX- | 211 | SRI_287663 | USPTO Notice of Allowability re Application 10/429,611 | 106, 402, 403, 802,901(a) | 401; 402; 803; 807; 901; document complete | 402, 802, 901(a), 403, 106 | 401; 402; 803; 807; 901; document complete | 830 |
| PTX- | 212 | SRI_287664- SRI_287681 | USPTO Information Disclosure Statement by Applicant for Application 10/429,611 | 106, 402, 403, 802,901(a) | 401; 402; 803; 807; 901; PTO para. 24; document complete | 402, 802, 901(a), 403, 106 | 401; 402; 803; 807; 901; PTO para. 24; document complete | 831 |
| PTX- | 213 | SRI_287682- SRI_287683 | Issue Classification for Application 10/429,611 | 106, 402, 403, 802,901(a) | 401; 402; 803; 807; 901; document complete | 402, 802, 901(a), 403, 106 | 401; 402; 803; 807; 901; document complete | 832 |
| PTX- | 214 | SRI_287684- SRI_287690 | Confirmation No. 3015 and Amendments to the Claims re 10/429,611 | 106, 402, 403, 802,901(a) | 401; 402; 803; 807; 901; document complete | 402, 802, 901(a), 403, 106 | 401; 402; 803; 807; 901; document complete | 833 |
| PTX- | 215 | SRI_287691- SRI_287692 | Fold out poster/cd- SRI_Timeline of Innovations | 106, 402, 403, 802, 901(a), partially illeg. | 401; 402; 803; 807; 901; PTO para. 24; sufficiently legible; document complete | 402, 802, 901(a), 403, 106. Illegible, at least in part. | 401; 402; 803; 807; 901; PTO para. 24; sufficiently legible; document complete | 834 |
| PTX- | 216 | SRI_287693- SRI_287697 | SRI_International – 60 years – 1946-2006 - Timeline of Innovations | 802, 403, 402, 901(a) | 401; 402; 803; 807; 901(a); PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 835 |
| PTX- | 217 | SRI_287698- SRI_287701 | SRI_International's Timeline of Innovations-Inventing the Future for More Than 55 Years | 802, 403, 402, 901(a) | 401; 402; 803; 807; 901(a); PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 836 |

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|---------------|-----|---------------------------|---|--|--|---|---|------------------|
| PTX- | 218 | SRI_287706- SRI_287709 | SRI_International's Timeline of Innovations- Inventing the Future for the Past 55 Years | 802, 403, 402, 901(a) | 401; 402; 803; 807; 901(a); PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 837 |
| PTX- | 219 | SRI_287710- SRI_287713 | SRI_International's Timeline of Innovations- Inventing the Future for the Past 50 Years | 802, 403, 402, 901(a) | 401; 402; 803; 807; 901(a); PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 838 |
| PTX- | 220 | SRI_287714 | SRI- What They Are Saying About Us | 802, 403, 402, 901(a) | 401; 402; 803; 807; 901(a); PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 839 |
| PTX- | 221 | SRI_287715- SRI_287716 | SRI_International - Breakthrough Ideas- Real-World Solutions | 802, 403, 901(a) | 401; 402; 803; 807; 901(a); PTO para. 24 | 802, 901(a), 402, 106, 403. Illegible, at least in part. | 401; 402; 803; 807; 901; PTO para. 24; sufficiently legible | 840 |
| PTX- | 222 | SRI_287717- SRI_287746 | World-Changing Innovations | partially illeg, 802, 901(a), 402, 403, 106 | 401; 402; 803; 807; 901; PTO para. 24; legible | 802, 901(a), 402, 403, 106. Illegible, at least in part. | 401; 402; 803; 807; 901; PTO para. 24; sufficiently legible | 841 |
| PTX- | 223 | SRI_287747- SRI_287749 | San Jose Mercury News 'For the Love of Research' | 802, 901(a), 402, 403, 106 | 401; 402; 803; 807; 901; 902; document complete | 802, 901(a), 402, 403, 106 | 401; 402; 803; 807; 901; 902; document complete | 842 |
| PTX- | 224 | SRI_287750- SRI_287765 | Discover What We're Discovering - | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 843 |
| PTX- | 225 | SRI_287766- SRI_287769 | Donna Linne letter to Nilsa Monina of DCMS-San Francisco dated October 29, 1998 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 802, 901(a), 402, 403. Not timely; produced after the close of discovery. | 401; 402; 803; 807; 901; PTO para. 24; produced timely | 844 |
| PTX- | 226 | SRI_37174-37175 | Article titled "DARPA' s EMERALD Proves Worth in Cyberdefens" from U.S. Department of Defense from http://www.defenselink.mil/news/aug2000/n08142000_200008144.html | 802, 402, 403, 901(a) | 401; 402; 803; 807; 901; 902 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; 902 | 1293 |
| PTX- | 227 | SRI_37176-37179 | SRI_Timeline of SRI_International Innovations: 2000s | 802, 402, 403, 901(a) | 401; 402; 803; 807; 901; PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 1294 |

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|---------------|-----|---------------------------|--|---------------------------|---|-----------------------|---|------------------|
| PTX- | 228 | SRI_37192-37195 | SRI_Timeline of SRI_International Innovations 1980s | DM, 802, 403, 402, 901(a) | 401; 402; 803; 807; 901; PTO para. 24; description accurate | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 1295 |
| PTX- | 229 | SRI_37196-37202 | SRI_Timeline of SRI_International Innovations 1990s | 802, 403, 402, 901(a) | 401; 402; 803; 807; 901; PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 1296 |
| PTX- | 230 | SRI_72894-72897 | Article titled "EMERALD's Component-Based Approach to Network Security Distrubuted Real- Time Security Monitoring by Claude J. Bauer | 901(a), 802, 403, 402 | 401; 402; 803; 807; 901; 902 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; 902 | 1299 |
| PTX- | 231 | SRI_E_0017849 | Email exchange between Jerzy Rub and Porras re Live Traffic Analysis paper | 802, 402, 403, 901(a) | 401; 402; 803; 807; 901; PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 714 |
| PTX- | 232 | SRI_E_0017912 | Email exchange between Shyhtsun Felix Wu and Porras re Live Traffic Analysis paper, 11/1997 | 802, 402, 403, 901(a) | 401; 402; 803; 807; 901; PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 715 |
| PTX- | 233 | SRI_E_0017929- 0017930 | Email exchange between Aziz Mounji and Porras re Live Traffic Analysis paper, 11/1997 | 802, 402, 403, 901(a) | 401; 402; 803; 807; 901; PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 716 |

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|---------------|-----|-----------------------|--|-----------------------|--|-----------------------|---|------------------|
| PTX- | 234 | SRI_E_0018034-0018038 | Email/authors kit from Steve Welke to Porras and others whose papers were accepted for publication in NDSS '98 | 802, 402, 403, 901(a) | 401; 402; 803; 807; 901 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901 | 717 |
| PTX- | 235 | SRI_E_0018138 | Email exchange between Valdes and Porras re the acceptance of Live Traffic Analysis paper, presentation | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 718 |
| PTX- | 236 | SRI_E_0018143 | 10/21/97 email to Emerald Team requesting a copy of Live Traffic Analysis article for jsloka@nswc.navy.mil | 802, 402, 403, 901(a) | 401; 402; 803; 807; 901 | 901(a), 802, 402, 403 | 401; 402; 803; 807; 901 | 723 |
| PTX- | 237 | SRI_E_0018147 | 10/1/97 email from Matt Schonlau of AT&T to Emerald Team asking to be sent a copy of Live Traffic paper when available | 802, 402, 403, 901(a) | 401; 402; 803; 807; 901 | 901(a), 802, 402, 403 | 401; 402; 803; 807; 901 | 724 |
| PTX- | 238 | SRI_E_0018233 | 11/11/97 email from Sal Stolfo to Porras thanking him for sending a copy of the Live Traffic Analysis paper | 802, 402, 403, 901(a) | 401; 402; 803; 807; 901 | 901(a), 802, 402, 403 | 401; 402; 803; 807; 901 | 720 |
| PTX- | 239 | SRI_E_0018234 | 9/10/87 email from Stolfo to Porras re Live Traffic Analysis paper | 802, 402, 403, 901(a) | 401; 402; 803; 807; 901 | 901(a), 802, 402, 403 | 401; 402; 803; 807; 901 | 721 |
| PTX- | 240 | SRI_E_0018239 | Email from Valdes to Porras forwarding an email re the Live Traffic Analysis | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 722 |
| PTX- | 241 | SRI_E_0018242 | 9/5/07 email from George Lentz to Emerald Team requesting 2 papers, including Live Traffic Analysis paper | 105, 802 | 401; 402; 803; 807 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 725 |

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| PTX- | 242 | SRI_E_0018248 | 9/4/07 email from Lentz to Emerald Team requesting papers | 901(a), 802, 403, 402 | 401; 402; 803; 807; 901 | 901(a), 802, 402, 403 | 401; 402; 803; 807; 901 | 726 |
| PTX- | 243 | SRI_E_0018254 | 9/3/07 email from Jennifer Dealy to Emerald Team requesting Live Traffic Analysis paper | 802, 402, 403, 901(a) | 401; 402; 803; 807; 901 | 901(a), 802, 402, 403 | 401; 402; 803; 807; 901 | 727 |
| PTX- | 244 | SRI_E_00399112 | 8/29/97 email from Gary Jackson to Emerald Team requesting Live Traffic Analysis paper | 802, 402, 403, 901(a) | 401; 402; 803; 807; 901 | 901(a), 802, 402, 403 | 401; 402; 803; 807; 901 | 728 |
| PTX- | 245 | SRI_E_0052232-0052233 | 12/7/97 email from Porras to davis@iastate.edu and dougj@ee.iastate.edu re requests for Live Traffic paper | 802, 402, 403, 901(a) | 401; 402; 803; 807; 901; PTO para. 24 | 901(a), 802, 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 729 |
| PTX- | 246 | SRIE_0004894 | Email from Valdes to Porras, Berrow and Skinner w/ disappointment over Atomic Tangerine/commercialization btw Valdes, Porras and Doug Moran | 802, 403, 402, 901(a) | 401; 402; 803; 807; 901; PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 1286 |
| PTX- | 247 | SRIE_0043813-0043814 | Email from Porras to Moran re Lunch and discussing EMERALD and Recourse | 403, 802, 402, 901(a) | 401; 402; 803; 807; 901; PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 1251 |

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| PTX- | 248 | SRIE_0052257- SRIE_0052258 | Gary Jackson email to Phil Porras re Live Traffic Analysis | 105, 802 | 401; 402; 803; 807 | 105, 802 | 401; 402; 803; 807 | 732 |
| PTX- | 249 | SRIE_0077330 | Email from Bercow to Valdes re Valdes' input about Recourse (SRIE_0077330) | 802, 403, 402, 901(a) | 401; 402; 803; 807; 901; PTO para. 24 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 909 |
| PTX- | 250 | SRIE_0077373 | Email from Bercow to Moran re Phil and Moran's lunch, EMERALD and Recourse | 802, 403, 402, 901(a) | 401; 402; 803; 807; 901; PTO para. 24 | 402, 802, 901(a), 403 | 401; 402; 803; 807; 901; PTO para. 24 | 1252 |
| PTX- | 251 | SRIE_186231 | Porras email to Skinner and Valdes dated June 21, 1998 re estat delivery | 901(a), 802, 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 901(a), 802, 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 748 |
| PTX- | 252 | SRIE_38302 | Porras email to Skinner, Fong and Porras dated December 30, 1998 re EMERALD near-term deliverables status | 901(a), 802, 403, 402 | 401; 402; 803; 807; 901; PTO para. 24 | 901(a), 802, 402, 403 | 401; 402; 803; 807; 901; PTO para. 24 | 751 |
| PTX- | 253 | SYM_P_0019055- SYM_P_0019074 | Symantec Guide to Correlation Techniques by Darrell M. Keinzle, PhD., dated November 6, 2003 | 403, 402 | 401; 402 | 802, 901(a), 402, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 776 |
| PTX- | 254 | SYM_P_0020640- SYM_P_0020675 | Symantec Security 5400 Series PowerPoint Presentation | | | 802, 901(a), 402, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 736 |

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|---------------|-----|---------------------------------|---|---|--|----------------------------|---|------------------|
| PTX- | 255 | SYM_P_0031160- SYM_P_0031299 | Event Correlation Overview Darrell Kienzle Symantec Research Labs | Range incl. mult. docs Assuming SRI intended to designate SYM_P_0031160-199. 402, 403 | 401; 402 | 802, 901(a), 402, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 762 |
| PTX- | 256 | SYM_P_0036968- SYM_P_0036976 | ManHunt's Event Dictionary Requirements for a Normalized Event Dictionary by Mike Smith, Jeremy Bennett | 403, 402 | 401; 402 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 775 |
| PTX- | 257 | SYM_P_0052675SY M_P_0052717 | Burton Group In-Depth Research Report: Security Enterprise w/Network Behavior Anomaly | 802, 402, 403, 901(a) | 401; 402; 803; 807; 901 | 802, 901(a), 402, 403 | 401; 402; 803; 807; 901 | 862 |
| PTX- | 258 | SYM_P_0053881SY M_P_0054272 | Symantec™ network Security Administration Guide | | | 802, 901(a), 402, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 764 |
| PTX- | 259 | SYM_P_0092443- SYM_P_0092447 | Symantec Global Enterprise Marketing Communications Brief v 3.0 Symantec NEW IDS Story Commbrief | 402, 403 | 401; 402 | 802, 901(a), 402, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 783 |
| PTX- | 260 | SYM_P_0097932- SYM_P_0094941 | Symantec Iforce IDs Appliance, Powered By Sun and Symantec V2 Business Infrastructure Readiness Project Pandora Dated 7/29/03 | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 872 |
| PTX- | 261 | SYM_P_0104928- SYM_P_0104931 | Patrick Wheeler email to Sandeep Kumar dated August ` 4, 2004 re SS correlated technote- Draft 1 | | | 802, 901(a), 402, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 760 |
| PTX- | 262 | SYM_P_0104934- 0104936 | TechNote: Symantec Network Security' s Real-Time Event Correlation Feature | | | 802, 901(a), 402 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 1265 |
| PTX- | 263 | SYM_P_0112420- 0112444 | Symantec ManHunt Strategy and Roadmap v. 1.11 | 402, 403 | 401; 402 | 802, 901(a), 402 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 1261 |
| PTX- | 264 | SYM_P_0137493SY M_P_0137545 | Blackbird Event Metadata 2.2 Specification | 402, 403 | 401; 402 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 789 |
| PTX- | 265 | SYM_P_0158602SY M_P_0158648 | Manhunt 2.1 Q2 2002 | 402, 403 | 401; 402 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 790 |

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| PTX- | 266 | SYM_P_0163052SY M_P_0163055 | AF: Event Receiver Specification by Michael Smith, modified February 24, 2004 | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 792 |
| PTX- | 267 | SYM_P_018749- 0189001 | Symantec Universal Event Collector Configuration Guide | | | 802, 901(a), 402, 403 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 1267 |
| PTX- | 268 | SYM_P_0209016- SYM_P_0209094 | Symantec K2 (Symantec Network Security 7100 Series Product functional requirements dated March 18, 2005 | 402, 403 | 401; 402 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 737 |
| PTX- | 269 | SYM_P_0209981SY M_P_0210011 | IPS Selection Criteria and SNS - Patrick Wheeler, Product Manager, dated July 26, 2005 | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 797 |
| PTX- | 270 | SYM_P_0236964- SYM_P_0236967 | Tolly Test Summary Draft Outline | 802, 403 | 401; 402; 801; 803; 807; PTO para. 24 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 798 |
| PTX- | 271 | SYM_P_0284104SY M_P_0284130 | Product: Symantec Security Information Manager 4.0 | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 800 |
| PTX- | 272 | SYM_P_0286483SY M_P_0286498 | Sim 4.0 fy06 Business Plan Version 5 | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 801 |
| PTX- | 273 | SYM_P_0300071SY M_P_0300099 | Symantec Worldwide Sales & Marketing Conference | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 802 |
| PTX- | 274 | SYM_P_0336255- SYM_P_0336259 | Symantec Network Security Analyst quarterly dated August 2005 | 802, 402, 403 | 401; 402; 801; 803; 807; PTO para. 24 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 804 |
| PTX- | 275 | SYM_P_0384490SY M_P_0384493 | Manhunt Deployment Guide | 802, 402, 403 | 401; 402; 801; 803; 807; PTO para. 24 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 805 |
| PTX- | 276 | SYM_P_0407795- SYM_P_0407800 | Manhunt Event Stream Provider Specification by Brian Hernacki, Bob Geiger created 4/26/00 | 802, 403, 402 | 401; 402; 801; 803; 807; PTO para. 24 | 802, 901(a), 402, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 753 |
| PTX- | 277 | SYM_P_0553071 | Interrogatory 17 Supplemental Data | | | Document inadequately identified. Description mischaracterizes document. | Identification adequate; description accurate | 924 |
| PTX- | 278 | SYM_P_385550- SYM_P_385660 | Recourse ManHunt v. 1.1 Deployment Guide- Beta Proprietary and Confidential | 802, 403, 402 | 401; 402; 801; 803; 807; PTO para. 24 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 778 |
| PTX- | 279 | SYM_P_415808- SYM_P_415839 | Manhunt 3.0 "Orion" Engineering Design Document created December 27, 2001 | | | 802, 901(a), 402, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 754 |

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|---------------|-----|---------------------------------|---|-----------------------|---|---|---|------------------|
| PTX- | 280 | SYM_P_53323- SYM_P_53396 | Symantec SGS 2.0 Gateway Network Detection Component (gNDC) Workshop | | | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 738 |
| PTX- | 281 | SRI 287824 | Welcome Message on SRI CSL FTP Server | 802, 402, 403, 106 | 401, 402, 801, 803 | 402, 403, 802, 106, NT | 401, 402, 801, 803, part of | |
| PTX- | 282 | SYM-P-0049575- SYM_P_0049583 | Symantec Halon component Functional Requirements | 402, 403 | 401; 402 | 802, 901(a), 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 763 |
| PTX- | 283 | SYM-P-0057094- SYM-P-0057237 | Symantec TM Manhunt 3.0 User Guide | | | 802, 901(a), 402, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 765 |
| PTX- | 284 | | ISS RealSecure SiteProtector Comparison Guide for ICEcap Manager Version 1.5 dated January 2002 (http://documents.iss.net/literature/SiteProtector/KEC aptorRSSPCcomparison.pdf) | 402, 403, 802 | 401; 402; 801; 803; 807 | 402, 802. Document inadequately identified. | 401; 402; 801; 803; 807; Identification adequate | 806 |
| PTX- | 285 | | US Patent Application Publication re US 2002/0019945 A1 | | | 106. Not timely: never produced or disclosed. | Document complete; publicly available | 809 |
| PTX- | 286 | | US Patent Application Publication re US 2002/0078381A1 | | | 106. Not timely: never produced or disclosed. | Document complete; publicly available | 810 |
| PTX- | 287 | | | NP, DM | Publicly available; identified in Dr. Kesidis' expert report description accurate | 901(a), 802, 402, 403. Not timely: never produced or disclosed. | 401; 402; 801; 803; 807; identification adequate; publicly available; identified in Dr. Kesidis' expert report | 818 |
| | | | Proventia Security Product Family Datasheet http://do | | | | | |
| PTX- | 288 | | | NT | Publicly available; produced during summary judgment briefing | Not timely: produced after the close of discovery. | Identification adequate; publicly available; produced during summary judgment briefing | 827 |
| | | | Live Traffic Analysis of TCP/IP Gateways dated Nov | | | | | |

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|---------------|-------------|--|-----------------------|--|--|--|------------------|
| PTX- | 289 | Abstract of Live Traffic Analysis of TCP/IP Gateways dated August 1, 1997 from http://web.archive.org/web/19980124003236/www.csl.sri.com/emerald/ | | | Document inadequately identified. Not timely: produced after the close of discovery. | Identification adequate; publicly available; produced during summary judgment briefing | 828 |
| PTX- | 290 | Book titled A Heritage of Innovation –SRI's First Half Century by Donald Nielsen | NP | Publicly available | Not timely: never produced or disclosed. | Publicly available | 845 |
| PTX- | 291 | RealSecure Network Datasheet (http://documents.iss.net/literature/realsecure/rsn/10-100datasheet.pdf) | NP | Publicly available; identified in Dr. Kesidis' expert report | Document inadequately identified. Not timely: never produced or disclosed. | Identification adequate; publicly available; identified in Dr. Kesidis' expert report | 848 |
| PTX- | 292 | "SiteProtector Security Fusion" (http://documents.iss.net/literature/SiteProtector/Data-sheet-Fusion2.0.pdf) | NP | Publicly available; identified in Dr. Kesidis' expert report | Not timely: never produced or disclosed. | Publicly available; identified in Dr. Kesidis' expert report | 875 |
| PTX- | 293 | Curriculum vitae Dr. George Kesidis | 105, 802 | 402; 403; 803; 807 | 105, 802 | 401; 402; 803; 807 | 915 |
| PTX- | 294 | ISS webpage http://www.iss.net/education/schedules/by-courses/by_course-course=94=north-america.php | NP, DI | Publicly available; produced during summary judgment briefing; adequately identified | Document inadequately identified. | Identification adequate; publicly available; produced during summary judgment briefing | 920 |
| PTX- | 295 | Ex. A to Symantec' s Supplemental Responses to Interrogatory No. 9 and 17 | | | 105, 402, 403. Document inadequately identified. | Identification adequate; 401; 402 | 926 |
| PTX- | 296 | Ex. A to Symantec' s Supplemental Response to Interrogatory No. 18 | | | 105, 402, 403 | 401; 402 | 927 |
| PTX- | 297 | Ex. B to Symantec' s Supplemental Response to Interrogatory No. 18 | | | 105, 402, 403 | 401; 402 | 928 |
| PTX- | 298 | ISS' s Response to SRI_Interrogatory No. 1 | 105, 802, 402, 403 | 401; 402; 801; 803; 807 | | | 1301 |
| PTX- | 299 | Symantec' s Response to SRI_Interrogatory No. 9 | | | 105, 402, 403, 802 | 401; 402; 801; 803; 807 | 1302 |

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|---------------|-----|-------------|--|-----------------------|--|---|---|------------------|
| PTX- | 300 | | Symantec's Response to SRI Interrogatory No. 17 | | | 105, 402, 403, 802 | 401; 402; 801; 803; 807 | 1303 |
| PTX- | 301 | | Symantec's Response to SRI Interrogatory No. 18 | | | 105, 402, 403, 802 | 401; 402; 801; 803; 807 | 1304 |
| PTX- | 302 | | Appendices referenced in Symantec's Responses to Interrogatory No. 17 including supplemental appendices and any further financial information produced by Symantec | 403, 402, 105 | 401, 402 | 105, 402, 403, 802, 901 | 401, 402, 801, 803, 807, 901, PTO Para. 24 | 1306 |
| PTX- | 303 | | Appendices referenced in Symantec's Responses to Interrogatory No. 9 including supplemental appendices and any further financial information produced by Symantec | 403, 402, 105 | 401; 402 | 105, 402, 403, 802, 901 | 401, 402, 801, 803, 807, 901, PTO Para. 24 | 1307 |
| PTX- | 304 | | Symantec Annual Report and quarterly SEC filings, 1999 | | | 802, 901(a), 402, 403. Not timely: never produced or disclosed. | 401; 402; 801; 803; 807; 901; publicly available | 1308 |
| PTX- | 305 | | Symantec Annual Report and quarterly SEC filings, 2000 | | | 802, 901(a), 402, 403. Not timely: never produced or disclosed. | 401; 402; 801; 803; 807; 901; publicly available | 1309 |
| PTX- | 306 | | Symantec Annual Report and quarterly SEC filings, 2001 | | | 802, 901(a), 402, 403. Not timely: never produced or disclosed. | 401; 402; 801; 803; 807; 901; publicly available | 1310 |
| PTX- | 307 | | Symantec Annual Report and quarterly SEC filings, 2002 | | | 802, 901(a), 402, 403. Not timely: never produced or disclosed. | 401; 402; 801; 803; 807; 901; publicly available | 1311 |
| PTX- | 308 | | Symantec Annual Report and quarterly SEC filings, 2003 | | | 802, 901(a), 402, 403. Not timely: never produced or disclosed. | 401; 402; 801; 803; 807; 901; publicly available | 1312 |
| PTX- | 309 | | Symantec Annual Report and quarterly SEC filings, 2004 | | | 802, 901(a), 402, 403. Not timely: never produced or disclosed. | 401; 402; 801; 803; 807; 901; publicly available | 1313 |
| PTX- | 310 | | Symantec Annual Report and quarterly SEC filings, 2005 | | | 802, 901(a), 402, 403. Not timely: never produced or disclosed. | 401; 402; 801; 803; 807; 901; publicly available | 1314 |

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|---------------|-----|---|---|-------------------------------|--|--|--|------------------|
| PTX- | 311 | | Symantec Annual Report and quarterly SEC filings, 2006 | NP | Publicly available | 802, 901(a), 402, 403. Not timely: never produced or disclosed. | 401; 402; 801; 803; 807; 901; publicly available | 1315 |
| PTX- | 312 | | Symantec sales data spreadsheets | NP, DI | Adequately identified; produced by Symantec | 802, 901(a), 402, 403. Not timely: never produced or disclosed. | 401; 402; 801; 803; 807; 901; produced by Symantec | 1316 |
| PTX- | 313 | | CD containing emerald.avi file | NP, DM, 402, 403, 802, 901(a) | 401; 402; 803; 807; 901; PTO para. 24; produced at SRI 287301 on 2/14/2006; description accurate | 802, 901(a), 402, 403. Not timely: never produced or disclosed. Description mischaracterizes document. | 401; 402; 803; 807; 901; PTO para. 24; produced at SRI 287301 on 2/14/2006; description accurate | 1317 |
| PTX- | 314 | Cited in Dr. George Kesidis' Infringement Report re ISS | http://www.iss.net/RSSP/window.html | DI | Publicly available; identified in Dr. Kesidis' Infringement Report; identification adequate | Document inadequately identified. | Identification adequate; publicly available; identified in Dr. Kesidis' Infringement Report | 1318 |
| PTX- | 315 | SRI 058107-18 | Defending Networks in an Information War: A Proposal to ARPA under BAA 96-03 | 402, 403, 802, 901 | 401; 402; 803; 807; 901; PTO para.24 | 402, 901, 802, 403 | 401; 402; 803; 807; 901; PTO para.24 | 1319 |
| PTX- | 316 | SRI 014413-014442 | Anderson, Boucher, Neumann, Valdes. Analysis and Response for Intrusion Detection in Large Networks: A Proposal in Response to ARPA ITO BAA 96-03 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 802,105,901 | 401; 402; 803; 807; 902; PTO para. 24 | 1320 |
| PTX- | 317 | SRI 014512-014517 | BAA 96-03 Proposer Information Pamphlet | 402, 403, 802, 901 | 401; 402; 803; 807; 901; PTO para. 24 | 402, 901, 802, 403, 105; description mischaracterizes document | 401; 402; 803; 807; 901; PTO para. 24; description accurate | 1321 |
| PTX- | 318 | SRI 030166-030243 | Memorandum to Linne re BAA 96-03 and attachments thereto | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 802, 105, 901; Description mischaracterizes document. | 401; 402; 803; 807; 901; PTO para. 24; description accurate | 1322 |

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|---------------|-----|---------------------------------|--|---------------------------------|--|--|---|------------------|
| PTX- | 319 | SRI 030460 | Letter fr Linne to Advanced Research Projects Agency Attn: BAA96-03 Topic Area: Survivability of Information Systems | 402, 403, 802, 901 | 401; 402; 803; 807; 901; PTO para. 24 | 402, 802, 403, 105; incomplete document | 401; 402; 803; 807; PTO para. 24; document complete | 1323 |
| PTX- | 320 | SRIE 0029652- 0029653 | Email from Porras to Platon, Stauden dated 10/6/00 | 402, 403, 802, 901 | 401; 402; 801; 807; 901; PTO para. 24 | 402, 901, 802, 403, 105; incomplete document | 401; 402; 803; 807; 901; PTO para. 24; document complete | 1326 |
| PTX- | 321 | SRIE0083010 | Email from Moran to Porras dated 7/18/01 | 402, 403, 802, 901 | 401; 402; 803; 807; 901; PTO para. 24 | 402, 901, 802, 403, 105 | 401; 402; 803; 807; 901; PTO para. 24 | 1328 |
| PTX- | 322 | SRIE 0272727 | Email from Porras to Lindqvist re Form posted from Mozilla dated 10/11/00 | 402, 403, 802, 901 | 401; 402; 803; 807; 901; PTO para. 24 | 402, 901, 802, 403, 105 | 401; 402; 803; 807; 901; PTO para. 24 | 1330 |
| PTX- | 323 | SRI 088984- SRI 088989 | Email from Winarsky to Gibson; cc: Lincoln, Mark, Porras, Abramson, etc dated 9/3/04 | 105, 106, 402, 403, 802, 901 | 401; 402; 803; 807; 901; PTO para. 24; document complete | 402,901,802, 403, 105, 106 | 401; 402; 803; 807; 901; PTO para. 24; document complete | 1364 |
| PTX- | 324 | SRIE 0043813-SRIE 0043814 | Email from Douglas Moran to Phil Porras dated October 18, 2000 | 402, 403, 802, 901 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 402, 901,802, 403,105 | 401; 402; 803; 807; 901; PTO para. 24 | 1365 |
| PTX- | 325 | SRI 044857- SRI 044861 | Email from Phil Porras to Peter Neumann dated October 9, 1996 | 105, 802 | 401; 402; 803; 807; PTO para. 24 | 802, 105 | 401; 402; 803; 807; PTO para. 24 | 1367 |
| PTX- | 326 | ISS 20663- ISS 21045 | ISS Organization Charts | 105, 402, 403, 802, 901 | 401; 402; 801; 803; 807; PTO para. 24; 901 | 105, 402, 403, more than one document; description mischaracterizes document | 401; 402; description accurate | 1368 |
| PTX- | 327 | | Appendix F to Symantec's Response to Interrogatory No 10 (Recourse Organization Charts) | 402, 403, 802 | 401; 402; 801; 803; 807; PTO para. 24 | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 1369 |
| PTX- | 328 | | Appendix G to Symantec's Response to Interrogatory No. 10 (Symantec Organization Charts) | 402, 403 | 401; 402 | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 1370 |
| PTX- | 329 | | Appendix H to Symantec's Response to Interrogatory No. 10 (Symantec Distributors) | 402, 403 | 401; 402 | 802, 901, 402, 403, 105 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 1371 |
| PTX- | 330 | SYM_P_0036809- SYM_P_0036812 | Questions re Symantec ManHunt | 901(a), 802, 106, 403, 402 | 401; 402; 801; 803; 807; 901; PTO para. 24 | 105, 402, 403, 802, 901(a) ; 106 | 401; 402; 801; 803; 807; 901; PTO para. 24; document complete | 782 |

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|---------------|-----|----------------|--|-----------------------|--|----------------------------|---|------------------|
| PTX- | 331 | | SRI_Source Code Request to Symantec No. 55. /manhunt/src/java/com/recourse/manhunt/analysis/ev ent/BadRefinementRuleException.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 981 |
| PTX- | 332 | SYM_SC_56_1-6 | SRI_Source Code Request to Symantec No. 56. /manhunt/src/java/com/recourse/manhunt/analysis/ev ent/ControlServer.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 982 |
| PTX- | 333 | SYM_SC_57_1-2 | SRI_Source Code Request to Symantec No. 57. /manhunt/src/java/com/recourse/manhunt/analysis/ev ent/CorrelationWeights.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 983 |
| PTX- | 334 | SYM_SC_58_1-5 | SRI_Source Code Request to Symantec No. 58. /manhunt/src/java/com/recourse/manhunt/analysis/ev ent/EventCreator.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 984 |
| PTX- | 335 | SYM_SC_59_1-2 | SRI_Source Code Request to Symantec No. 59. /manhunt/src/java/com/recourse/manhunt/analysis/ev ent/EventLogger.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 985 |
| PTX- | 336 | SYM_SC_60_1-6 | SRI_Source Code Request to Symantec No. 60. /manhunt/src/java/com/recourse/manhunt/analysis/ev ent/EventMain.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 986 |
| PTX- | 337 | SYM_SC_61_1-7 | SRI_Source Code Request to Symantec No. 61. /manhunt/src/java/com/recourse/manhunt/analysis/ev ent/Evt.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 987 |
| PTX- | 338 | | SRI_Source Code Request to Symantec No. 62. /manhunt/src/java/com/recourse/manhunt/analysis/ev ent/ForwardedEvt.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 988 |
| PTX- | 339 | SYM_SC_63_1-16 | SRI_Source Code Request to Symantec No. 63. /manhunt/src/java/com/recourse/manhunt/analysis/ev ent/IdleSteer.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 989 |

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|---------------|-----|---------------|---|-----------------------|--|----------------------------|---|------------------|
| PTX- | 340 | SYM_SC_64_1-3 | SRI_Source Code Request to Symantec No. 64. /manhunt/src/java/com/recourse/manhunt/analysis/event/IncidentLogger.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 990 |
| PTX- | 341 | SYM_SC_65_1 | SRI_Source Code Request to Symantec No.65. /manhunt/src/java/com/recourse/manhunt/analysis/event/IncidentLogQueue.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 991 |
| PTX- | 342 | SYM_SC_66_1-5 | SRI_Source Code Request to Symantec No. 66. /manhunt/src/java/com/recourse/manhunt/analysis/event/IntrusionEvt.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 992 |
| PTX- | 343 | | SRI_Source Code Request to Symantec No. 67. /manhunt/src/java/com/recourse/manhunt/analysis/event/InvalidEventException.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 993 |
| PTX- | 344 | | SRI_Source Code Request to Symantec No. 68. /manhunt/src/java/com/recourse/manhunt/analysis/event/Metadataloader.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 994 |
| PTX- | 345 | | SRI_Source Code Request to Symantec No. 69. /manhunt/src/java/com/recourse/manhunt/analysis/event/ObjectCount.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 995 |
| PTX- | 346 | | SRI_Source Code Request to Symantec No. 70. /manhunt/src/java/com/recourse/manhunt/analysis/event/OperationalEvt.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 996 |
| PTX- | 347 | | SRI_Source Code Request to Symantec No. 71. /manhunt/src/java/com/recourse/manhunt/analysis/event/RefineRule.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 997 |
| PTX- | 348 | | SRI_Source Code Request to Symantec No.72. /manhunt/src/java/com/recourse/manhunt/analysis/event/RefineRuleset.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 998 |
| PTX- | 349 | | SRI_Source Code Request to Symantec No.73. /manhunt/src/java/com/recourse/manhunt/analysis/event/ResponseConstants.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 999 |
| PTX- | 350 | | SRI_Source Code Request to Symantec No.74. /manhunt/src/java/com/recourse/manhunt/analysis/event/ResponseEvaluator.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1000 |

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|---------------|-----|-------------|---|-----------------------|--|----------------------------|---|------------------|
| PTX- | 351 | | SRI_Source Code Request to Symantec No.75. /manhunt/src/java/com/recourse/manhunt/analysis/event/Trackback.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1001 |
| PTX- | 352 | | SRI_Source Code Request to Symantec No.76. /manhunt/src/java/com/recourse/manhunt/analysis/event/XNodeEvt.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1002 |
| PTX- | 353 | | SRI_Source Code Request to Symantec No.77. /manahunt/src/java/manager/src/com/Symantec/sns/af/correlation/ActiveIncident.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1003 |
| PTX- | 354 | | SRI_Source Code Request to Symantec No.78. /manahunt/src/java/manager/src/com/Symantec/sns/af/correlation/ActiveIncidents.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1004 |
| PTX- | 355 | | SRI_Source Code Request to Symantec No. 79. /manahunt/src/java/manager/src/com/Symantec/sns/af/correlation/ObjectCount.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1005 |
| PTX- | 356 | | SRI_Source Code Request to Symantec No. 80. /manahunt/src/java/manager/src/com/Symantec/sns/af/EventReceiver.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1006 |
| PTX- | 357 | | SRI_Source Code Request to Symantec No. 81. /manahunt/src/java/manager/src/com/Symantec/sns/af/EvtLogger.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1007 |
| PTX- | 358 | | SRI_Source Code Request to Symantec No. 82. /manahunt/src/java/manager/src/com/Symantec/sns/af/HibernateEvtLogger.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1008 |
| PTX- | 359 | | SRI_Source Code Request to Symantec No. 83. /manahunt/src/java/manager/src/com/Symantec/sns/af/IncidentLogger.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1009 |
| PTX- | 360 | | SRI_Source Code Request to Symantec No. 84. /manahunt/src/java/manager/src/com/Symantec/sns/af/OpEventProcessor.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1010 |
| PTX- | 361 | | SRI_Source Code Request to Symantec No. 85. /manahunt/src/java/manager/src/com/Symantec/sns/af/OpEventReceiver.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1011 |

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|---------------|-----|-------------|--|-----------------------|--|----------------------------|---|------------------|
| PTX- | 362 | | SRI_Source Code Request to Symantec No. 86. /manahunt/src/java/manager/src/com/Symantec/sns/af /ResponseEvaluator.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1012 |
| PTX- | 363 | | SRI_Source Code Request to Symantec No. 87. /manahunt/src/java/manager/src/com/Symantec/sns/af /SecEventProcessor.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1013 |
| PTX- | 364 | | SRI_Source Code Request to Symantec No. 88. /manahunt/src/java/manager/src/com/Symantec/sns/af /SecReceiverData.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1014 |
| PTX- | 365 | | SRI_Source Code Request to Symantec No. 89. /manhunt/src/md/Makefile | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1015 |
| PTX- | 366 | | SRI_Source Code Request to Symantec No.90. /manhunt/src/md/policies.xml | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1016 |
| PTX- | 367 | | SRI_Source Code Request to Symantec No.91. /manhunt/src/md/sharedkeys.xml | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1017 |
| PTX- | 368 | | SRI_Source Code Request to Symantec No. 92. /manhunt/src/md/sns-apponly-config.xml | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1018 |
| PTX- | 369 | | SRI_Source Code Request to Symantec No. 93. /manhunt /src/md/sns-audit.xml | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1019 |
| PTX- | 370 | | SRI_Source Code Request to Symantec No. 94. /manhunt/src/md/sns-notapp-config.xml | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1020 |
| PTX- | 371 | | SRI_Source Code Request to Symantec No. 95. /manhunt/src/md/sns-operational.xml | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1021 |
| PTX- | 372 | | SRI_Source Code Request to Symantec No. 96. /manhunt/src/md/sns-policyresponse.xml | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1022 |
| PTX- | 373 | | SRI_Source Code Request to Symantec No.97. /manhunt/src/install/bb-eventmap | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1023 |
| PTX- | 374 | | SRI_Source Code Request to Symantec No.98. /manhunt/src/install/res-categorymap | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1024 |
| PTX- | 375 | | SRI_Source Code Request to Symantec No. 99. /manhunt/src/install/res-eventmap | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1025 |
| PTX- | 376 | | SRI_Source Code Request to Symantec No.100. /manhunt/src/install/bb-categorymap | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1026 |
| PTX- | 377 | | SRI_Source Code Request to Symantec No. 101. /manhunt/src/patch/eu/003.1/customrules.ManHunt- 3.0 | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1027 |

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|---------------|-----|-------------|--|-----------------------|--|----------------------------|---|------------------|
| PTX- | 378 | | SRI_Source Code Request to Symantec No.102. /blackbird/src/md/sensor-config/sensor-config.xml | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1028 |
| PTX- | 379 | | SRI_Source Code Request to Symantec No. 103. /blackbird/src/bbi/cmi/interfaces/cmi-eventmap.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1029 |
| PTX- | 380 | | SRI_Source Code Request to Symantec No. 104. /blackbird/src/bbi/cmi/sgs/cmi-config-sgs.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1030 |
| PTX- | 381 | | SRI_Source Code Request to Symantec No.105. /blackbird/src/sniff/sae/scan_db_impl.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1031 |
| PTX- | 382 | | SRI_Source Code Request to Symantec No.106. /blackbird/deuce/nids/nidsapi.cpp | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1032 |
| PTX- | 383 | | SRI_Source Code Request to Symantec No.107. /blackbird/deuce/nids/nidsapi.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1033 |
| PTX- | 384 | | SRI_Source Code Request to Symantec No.108. /blackbird/deuce/nids/nidsapi-p.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1034 |
| PTX- | 385 | | SRI_Source Code Request to Symantec No.109. /blackbird/deuce/nids/nidsglobals.cpp | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1035 |
| PTX- | 386 | | SRI_Source Code Request to Symantec No.110. /blackbird/deuce/nids/nidsglobals.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1036 |
| PTX- | 387 | | SRI_Source Code Request to Symantec No.111. /blackbird/deuce/nids/libnids.dsp | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1037 |
| PTX- | 388 | | SRI_Source Code Request to Symantec No.112. /blackbird/deuce/nids/w32buildnum.pl | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1038 |
| PTX- | 389 | | SRI_Source Code Request to Symantec No.113. /blackbird/md/src/schemas/Categories-2.2.xml | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1039 |
| PTX- | 390 | | SRI_Source Code Request to Symantec No.114. /blackbird/md/src/schemas/md_2.2-BB-MM.xsd | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1040 |
| PTX- | 391 | | SRI_Source Code Request to Symantec No.115. /blackbird/md/src/schemas/md_2.2-PPEM.xsd | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1041 |
| PTX- | 392 | | SRI_Source Code Request to Symantec No.116. /blackbird/md/src/schemas/md_2.2-PPIM.xsd | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1042 |
| PTX- | 393 | | SRI_Source Code Request to Symantec No.117. /blackbird/md/src/tools/NOTE | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1043 |
| PTX- | 394 | | SRI_Source Code Request to Symantec No.118. /blackbird/md/src/tools/README | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1044 |
| PTX- | 395 | | SRI_Source Code Request to Symantec No.119. /blackbird/src/bbi/cmi/qsp/cSrc/cmi-config-qsp.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1045 |
| PTX- | 396 | | SRI_Source Code Request to Symantec No. 120. /blackbird/src/bbi /common/bbi-block-memmgr.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1046 |

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|---------------|-----|-------------|--|-----------------------|--|----------------------------|---|------------------|
| PTX- | 397 | | SRI_Source Code Request to Symantec No. 121. /blackbird/src/bbi /common/bbi-icksum.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1047 |
| PTX- | 398 | | SRI_Source Code Request to Symantec No. 122. /blackbird/src/bbi /common/bbi-iplookup.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1048 |
| PTX- | 399 | | SRI_Source Code Request to Symantec No. 123. /blackbird/src/bbi/common/bbi-util.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1049 |
| PTX- | 400 | | SRI_Source Code Request to Symantec No. 124. /blackbird/src/bbi/gpe/interfaces/gpe-types.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1050 |
| PTX- | 401 | | SRI_Source Code Request to Symantec No. 125. /blackbird/src/bbi/gpe/interfaces/gpe-interface- processingengine.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1051 |
| PTX- | 402 | | SRI_Source Code Request to Symantec No. 126. /blackbird/src/bbi/gpe/interfaces/gpe-interface- transformingengine.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1052 |
| PTX- | 403 | | SRI_Source Code Request to Symantec No.127. /blackbird/src/bbi/gpe/interfaces/gpe-interface.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1053 |
| PTX- | 404 | | SRI_Source Code Request to Symantec No.128. /blackbird/src/bbi/gpe/interfaces/gpe-common.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1054 |
| PTX- | 405 | | SRI_Source Code Request to Symantec No. 129. /blackbird/src/bbi/idi/README | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1055 |
| PTX- | 406 | | SRI_Source Code Request to Symantec No. 130. /blackbird/src/bbi /scsi/sensor-context.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1056 |
| PTX- | 407 | | SRI_Source Code Request to Symantec No. 131. /blackbird/src/bbi /sci/detection-context.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1057 |
| PTX- | 408 | | SRI_Source Code Request to Symantec No. 132. /blackbird/src/control/driver/solaris-mhdrv.-ctrl | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1058 |
| PTX- | 409 | | SRI_Source Code Request to Symantec No. 133. /blackbird/src/control/sensorctrl/scdaemon.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1059 |
| PTX- | 410 | | SRI_Source Code Request to Symantec No. 134. /blackbird/src/control/sensorctrl/scshell.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1060 |
| PTX- | 411 | | SRI_Source Code Request to Symantec No.135. /blackbird/src/include/alert-contextkeys.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1061 |
| PTX- | 412 | | SRI_Source Code Request to Symantec No.136. /blackbird/src/include/snooptypes.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1062 |
| PTX- | 413 | | SRI_Source Code Request to Symantec No.137. /blackbird/src/include/sniffflags.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1063 |
| PTX- | 414 | | SRI_Source Code Request to Symantec No.138. /blackbird/src/md/protocol-registry/pr2namecode.xml | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1064 |

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|---------------|-----|-------------|---|-----------------------|--|----------------------------|---|------------------|
| PTX- | 415 | | SRI_Source Code Request to Symantec No.139. /blackbird/src/md/protocol-registry/protocol-registry- endc.xml | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1065 |
| PTX- | 416 | | SRI_Source Code Request to Symantec No.140. /blackbird/src/md/sensor-config/sensor-config.xml | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1066 |
| PTX- | 417 | | SRI_Source Code Request to Symantec No. 141. /blackbird/src/md/sensor-config/Makefile | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1067 |
| PTX- | 418 | | SRI_Source Code Request to Symantec No.142. /blackbird/src/sniff/cdr/cdr.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1068 |
| PTX- | 419 | | SRI_Source Code Request to Symantec No.143. /blackbird/src/sniff/dispatch/dispatch.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1069 |
| PTX- | 420 | | SRI_Source Code Request to Symantec No.144. /blackbird/src/sniff/eventresponse/throttle.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1070 |
| PTX- | 421 | | SRI_Source Code Request to Symantec No.145. /blackbird/src/sniff/gpe-engines/ip4/gpe-ip4.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1071 |
| PTX- | 422 | | SRI_Source Code Request to Symantec No.146. /blackbird/src/sniff/gpe-engines/ip4/gpe-ip4.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1072 |
| PTX- | 423 | | SRI_Source Code Request to Symantec No.147. /blackbird/src/sniff/gpe-engines/ip4/gpe-ip4-impl.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1073 |
| PTX- | 424 | | SRI_Source Code Request to Symantec No. 148. /blackbird/src/sniff/gpe-engines/ip4/gpe-ip4- extensions.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1074 |
| PTX- | 425 | | SRI_Source Code Request to Symantec No.149. /blackbird/src/sniff/gpe-engines/ip4/gpe-ip4- extensions-impl.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1075 |
| PTX- | 426 | | SRI_Source Code Request to Symantec No.150. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1076 |
| PTX- | 427 | | SRI_Source Code Request to Symantec No.151. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1077 |
| PTX- | 428 | | SRI_Source Code Request to Symantec No.152. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp-impl.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1078 |
| PTX- | 429 | | SRI_Source Code Request to Symantec No.153. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp- extensions.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1079 |
| PTX- | 430 | | SRI_Source Code Request to Symantec No.154. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp- extensions-impl.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1080 |

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|---------------|-----|-------------|---|-----------------------|--|----------------------------|---|------------------|
| PTX- | 431 | | SRI_Source Code Request to Symantec No. 155. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp-recycler.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1081 |
| PTX- | 432 | | SRI_Source Code Request to Symantec No. 156. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp-recycler.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1082 |
| PTX- | 433 | | SRI_Source Code Request to Symantec No. 157. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp-synflood.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1083 |
| PTX- | 434 | | SRI_Source Code Request to Symantec No. 158. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp-synflood.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1084 |
| PTX- | 435 | | SRI_Source Code Request to Symantec No.159. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp-tcpflow.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1085 |
| PTX- | 436 | | SRI_Source Code Request to Symantec No. 160. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp-tcpflow.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1086 |
| PTX- | 437 | | SRI_Source Code Request to Symantec No. 161. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp-tcpflow-impl.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1087 |
| PTX- | 438 | | SRI_Source Code Request to Symantec No.162. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp-tcpflowtable.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1088 |
| PTX- | 439 | | SRI_Source Code Request to Symantec No. 163. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp-tcpflowtable.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1089 |
| PTX- | 440 | | SRI_Source Code Request to Symantec No. 164. /blackbird/src/sniff/gpe-engines/tcp/gpe-tcp-flowtable.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1090 |
| PTX- | 441 | | SRI_Source Code Request to Symantec No. 165. /blackbird/src/sniff/sae/sae.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1091 |
| PTX- | 442 | | SRI_Source Code Request to Symantec No. 166. /blackbird/src/sniff/sae/pud.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1092 |
| PTX- | 443 | | SRI_Source Code Request to Symantec No. 167. /blackbird/src/sniff/sae/pud-bbg.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1093 |
| PTX- | 444 | | SRI_Source Code Request to Symantec No. 168. /blackbird/src/sniff/sae/pud_test.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1094 |
| PTX- | 445 | | SRI_Source Code Request to Symantec No. 169. /blackbird/src/sniff/sae/scan_db.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1095 |

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|---------------|-----|-------------|--|-----------------------|--|----------------------------|---|------------------|
| PTX- | 446 | | SRI_Source Code Request to Symantec No. 170. /blackbird/src/sniff/sae/sdb.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1096 |
| PTX- | 447 | | SRI_Source Code Request to Symantec No. 171. /blackbird/src/sniff/sae/sdb.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1097 |
| PTX- | 448 | | SRI_Source Code Request to Symantec No. 172. /blackbird/src/sniff/sae/pud.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1098 |
| PTX- | 449 | | SRI_Source Code Request to Symantec No. 173. /blackbird/src/sniff/sae/scan_db.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1099 |
| PTX- | 450 | | SRI_Source Code Request to Symantec No. 174. /blackbird/src/sniff/sae/sae.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1100 |
| PTX- | 451 | | SRI_Source Code Request to Symantec No. 175. /blackbird/src/sniff/sniff/alert.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1101 |
| PTX- | 452 | | SRI_Source Code Request to Symantec No. 176. /blackbird/src/sniff/sniff/alert.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1102 |
| PTX- | 453 | | SRI_Source Code Request to Symantec No. 177. /blackbird/src/sniff/sniff/alert-impl.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1103 |
| PTX- | 454 | | SRI_Source Code Request to Symantec No. 178. /blackbird/src/sniff/sniff/asyncsniff-handlers.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1104 |
| PTX- | 455 | | SRI_Source Code Request to Symantec No. 179. /blackbird/src/sniff/sniff/asyncsniff-handlers.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1105 |
| PTX- | 456 | | SRI_Source Code Request to Symantec No.180. /blackbird/src/sniff/sniff/asyncsniff-handlers-impl.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1106 |
| PTX- | 457 | | SRI_Source Code Request to Symantec No.181. /blackbird/src/sniff/sniff/cadm.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1107 |
| PTX- | 458 | | SRI_Source Code Request to Symantec No.182. /blackbird/src/sniff/sniff/cadm.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1108 |
| PTX- | 459 | | SRI_Source Code Request to Symantec No.183. /blackbird/src/sniff/sniff/cadm-impl.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1109 |
| PTX- | 460 | | SRI_Source Code Request to Symantec No. 184. /blackbird/src/sniff/sniff/configmg.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1110 |
| PTX- | 461 | | SRI_Source Code Request to Symantec No. 185. /blackbird/src/sniff/sniff/configmg.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1111 |
| PTX- | 462 | | SRI_Source Code Request to Symantec No.186. /blackbird/src/sniff/sniff/counters.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1112 |
| PTX- | 463 | | SRI_Source Code Request to Symantec No. 187. /blackbird/src/sniff/sniff/counters.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1113 |
| PTX- | 464 | | SRI_Source Code Request to Symantec No. 188. /blackbird/src/sniff/sniff/counters-impl.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1114 |

| Final Ex. No. | BATES RANGE | DOCUMENT DESCRIPTION | Symantec's Objections | Basis for Admission: Response to Symantec's Objections | ISS's objections | Basis for Admission: Response to ISS's Objections | Prev. PTX No. |
|---------------|-------------|--|-----------------------|--|----------------------------|---|------------------|
| PTX- | 465 | SRI_Source Code Request to Symantec No. 189. /blackbird/src/sniff/sniff/ctrlmsg-handlers.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1115 |
| PTX- | 466 | SRI_Source Code Request to Symantec No. 190. /blackbird/src/sniff/sniff/ctrlmsg-handlers.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1116 |
| PTX- | 467 | SRI_Source Code Request to Symantec No. 191. /blackbird/src/sniff/sniff/flowstat.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1117 |
| PTX- | 468 | SRI_Source Code Request to Symantec No. 192. /blackbird/src/sniff/sniff/gpe-alert-ctx-imple.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1118 |
| PTX- | 469 | SRI_Source Code Request to Symantec No. 193. /blackbird/src/sniff/sniff/gpe-callbacks.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1119 |
| PTX- | 470 | SRI_Source Code Request to Symantec No. 194. /blackbird/src/sniff/sniff/heartbeat.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1120 |
| PTX- | 471 | SRI_Source Code Request to Symantec No. 195. /blackbird/src/sniff/sniff/heartbeat.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1121 |
| PTX- | 472 | SRI_Source Code Request to Symantec No.196. /blackbird/src/sniff/sniff/icmpestreak.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1122 |
| PTX- | 473 | SRI_Source Code Request to Symantec No. 197. /blackbird/src/sniff/sniff/icmpestreak.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1123 |
| PTX- | 474 | SRI_Source Code Request to Symantec No. 198. /blackbird/src/sniff/sniff/icmpestreak-impl.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1124 |
| PTX- | 475 | SRI_Source Code Request to Symantec No. 199. /blackbird/src/sniff/sniff/portconf.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1125 |
| PTX- | 476 | SRI_Source Code Request to Symantec No. 200. /blackbird/src/sniff/sniff/portconf.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1126 |
| PTX- | 477 | SRI_Source Code Request to Symantec No. 201. /blackbird/src/sniff/sniff/portmap.conf | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1127 |
| PTX- | 478 | SRI_Source Code Request to Symantec No. 202. /blackbird/src/sniff/sniff/portmon.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1128 |
| PTX- | 479 | SRI_Source Code Request to Symantec No.203. /blackbird/src/sniff/sniff/portmon.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1129 |
| PTX- | 480 | SRI_Source Code Request to Symantec No. 204. /blackbird/src/sniff/sniff/re1000dup.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1130 |
| PTX- | 481 | SRI_Source Code Request to Symantec No. 205. /blackbird/src/sniff/sniff/read-ctrl-data.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1131 |
| PTX- | 482 | SRI_Source Code Request to Symantec No. 206. /blackbird/src/sniff/sniff/readme | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1132 |
| PTX- | 483 | SRI_Source Code Request to Symantec No. 207. /blackbird/src/sniff/sniff/read-traffic-data.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1133 |
| PTX- | 484 | SRI_Source Code Request to Symantec No. 208. /blackbird/src/sniff/sniff/record.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1134 |

| Final Ex. No. | BATES RANGE | DOCUMENT DESCRIPTION | Symantec's Objections | Basis for Admission: Response to Symantec's Objections | ISS's objections | Basis for Admission: Response to ISS's Objections | Prev. PTX No. |
|---------------|-------------|---|-----------------------|--|----------------------------|---|------------------|
| PTX- | 485 | SRI_Source Code Request to Symantec No. 209. /blackbird/src/sniff/sniff/record.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1135 |
| PTX- | 486 | SRI_Source Code Request to Symantec No. 210. /blackbird/src/sniff/sniff/record-impl.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1136 |
| PTX- | 487 | SRI_Source Code Request to Symantec No. 211. /blackbird/src/sniff/sniff/record-socket | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1137 |
| PTX- | 488 | SRI_Source Code Request to Symantec No. 212. /blackbird/src/sniff/sniff/schedule.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1138 |
| PTX- | 489 | SRI_Source Code Request to Symantec No. 213. /blackbird/src/sniff/sniff/schedule.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1139 |
| PTX- | 490 | SRI_Source Code Request to Symantec No. 214. /blackbird/src/sniff/sniff/sniff.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1140 |
| PTX- | 491 | SRI_Source Code Request to Symantec No. 215. /blackbird/src/sniff/sniff/sniff.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1141 |
| PTX- | 492 | SRI_Source Code Request to Symantec No. 216. /blackbird/src/sniff/sniff/sniff/siff-dbg.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1142 |
| PTX- | 493 | SRI_Source Code Request to Symantec No. 217. /blackbird/src/sniff/sniff/sniff-sigactions.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1143 |
| PTX- | 494 | SRI_Source Code Request to Symantec No. 218. /blackbird/src/sniff/sniff/sniff-sigactions.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1144 |
| PTX- | 495 | SRI_Source Code Request to Symantec No. 219. /blackbird/src/sniff/sniff/sniff_stringutil.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1145 |
| PTX- | 496 | SRI_Source Code Request to Symantec No. 220. /blackbird/src/sniff/sniff/sniff_stringutil.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1146 |
| PTX- | 497 | SRI_Source Code Request to Symantec No. 221. /blackbird/src/sniff/sniff/spoof.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1147 |
| PTX- | 498 | SRI_Source Code Request to Symantec No. 222. /blackbird/src/sniff/sniff/spoof.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1148 |
| PTX- | 499 | SRI_Source Code Request to Symantec No. 223. /blackbird/src/sniff/sniff/sport-linux.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1149 |
| PTX- | 500 | SRI_Source Code Request to Symantec No. 224. /blackbird/src/sniff/sniff/sport-linux.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1150 |
| PTX- | 501 | SRI_Source Code Request to Symantec No. 225. /blackbird/src/sniff/sniff/streak.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1151 |
| PTX- | 502 | SRI_Source Code Request to Symantec No. 226. /blackbird/src/sniff/sniff/streak.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1152 |
| PTX- | 503 | SRI_Source Code Request to Symantec No. 227. /blackbird/src/sniff/sniff/tcpstreak.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1153 |
| PTX- | 504 | SRI_Source Code Request to Symantec No.228. /blackbird/src/sniff/sniff/tcpstreak.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1154 |

| Final Ex. No. | BATES RANGE | DOCUMENT DESCRIPTION | Symantec's Objections | Basis for Admission: Response to Symantec's Objections | ISS's objections | Basis for Admission: Response to ISS's Objections | Prev. PTX No. |
|---------------|-------------|---|-----------------------|--|----------------------------|---|------------------|
| PTX- | 505 | SRI_Source Code Request to Symantec No. 229. /blackbird/src/sniff/sniff/terminate.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1155 |
| PTX- | 506 | SRI_Source Code Request to Symantec No. 230. /blackbird/src/sniff/sniff/terminate.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1156 |
| PTX- | 507 | SRI_Source Code Request to Symantec No. 231. /blackbird/src/sniff/sniff/traffic-filter.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1157 |
| PTX- | 508 | SRI_Source Code Request to Symantec No. 232. /blackbird/src/sniff/sniff/udpstreak.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1158 |
| PTX- | 509 | SRI_Source Code Request to Symantec No. 233. /blackbird/src/sniff/sniff/udpstreak.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1159 |
| PTX- | 510 | SRI_Source Code Request to Symantec No. 234. /blackbird/src/sniff/sniff/udpstreak-impl.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1160 |
| PTX- | 511 | SRI_Source Code Request to Symantec No. 239. /manhunt/src/qsp/esp/esp.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1165 |
| PTX- | 512 | SRI_Source Code Request to Symantec No. 240. /manhunt/src/qsp/esp/esp.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1166 |
| PTX- | 513 | SRI_Source Code Request to Symantec No. 241. /manhunt/src/qsp/esp/esp_test_in.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1167 |
| PTX- | 514 | SRI_Source Code Request to Symantec No. 242. /manhunt/src/qsp/esp/esp_test_out.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1168 |
| PTX- | 515 | SRI_Source Code Request to Symantec No. 243. /manhunt/src/qsp/esp/extstats.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1169 |
| PTX- | 516 | SRI_Source Code Request to Symantec No. 244. /manhunt/src/qsp/esp/filter.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1170 |
| PTX- | 517 | SRI_Source Code Request to Symantec No. 245. /manhunt/src/qsp/esp/qsputil.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1171 |
| PTX- | 518 | SRI_Source Code Request to Symantec No. 246. /manhunt/src/qsp/esp/qsputil.h | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1172 |
| PTX- | 519 | SRI_Source Code Request to Symantec No. 247. /manhunt/src/qsp/esp/queues.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1173 |
| PTX- | 520 | SRI_Source Code Request to Symantec No. 248. /manhunt/src/qsp/esp/read_dgram.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1174 |
| PTX- | 521 | SRI_Source Code Request to Symantec No. 249. /manhunt/src/qsp/esp/read_qsp.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1175 |
| PTX- | 522 | SRI_Source Code Request to Symantec No. 250. /manhunt/src/qsp/esp/runtest.pl | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1176 |
| PTX- | 523 | SRI_Source Code Request to Symantec No. 251. /manhunt/src/qsp/esp/throttle.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1177 |
| PTX- | 524 | SRI_Source Code Request to Symantec No. 252. /manhunt/src/qsp/esp/writer.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1178 |

| Final Ex. No. | | BATES RANGE | DOCUMENT DESCRIPTION | Symantec's Objections | Basis for Admission: Response to Symantec's Objections | ISS's objections | Basis for Admission: Response to ISS's Objections | Prev. PTX No. |
|---------------|-----|-------------|---|-----------------------|--|----------------------------|---|------------------|
| PTX- | 525 | | SRI_Source Code Request to Symantec No. 275. F:\Perforce\docs_depot\depot\core_component\cndc\s ilicon\documentation\deuceengineapi.doc,d\1.1 | 402, 403 | 401; 402 | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1201 |
| PTX- | 526 | | SRI_Source Code Request to Symantec No. 276. F:\Perforce\docs_depot\depot\core_component\cndc\s ilicon\documentation\program_reviews\siliconctsfinal .ppt,d\1.1 | 402, 403 | 401; 402 | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1202 |
| PTX- | 527 | | SRI_Source Code Request to Symantec No. 277. F:\Perforce\docs_depot\depot\core_component\cndc\s ilicon\documentation\siliconreq2.4.doc,d\1.1 | 402, 403 | 401; 402 | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1203 |
| PTX- | 528 | | SRI_Source Code Request to Symantec No. 278. F:\Perforce\docs_depot\depot\core_component\cndc\s ilicon\documentation\updateddeuceapi.doc,d\1.1 | 402, 403 | 401; 402 | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1204 |
| PTX- | 529 | | SRI_Source Code Request to Symantec No. 279. F:\Perforce\docs_depot\depot\core_component\cndc\s ilicon\documentation\bb-metadata-2.0.2.html,v | 402, 403 | 401; 402 | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1205 |
| PTX- | 530 | | SRI_Source Code Request to Symantec No. 280. F:\Perforce\docs_depot\depot\core_component\cndc\s ilicon\documentation\blackbird-event metadata 2_1 specification.html,v | 402, 403 | 401; 402 | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1206 |
| PTX- | 531 | | SRI_Source Code Request to Symantec No. 281. F:\Perforce\docs_depot\depot\core_component\cndc\s ilicon\documentation\blackbird event metadata specification.htm,v | 402, 403 | 401; 402 | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1207 |
| PTX- | 532 | | SRI_Source Code Request to Symantec No. 282. F:\Perforce\docs_depot\depot\core_component\gndc\ halon\documentation\bb-metadata.2.0.2.html,v | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1208 |
| PTX- | 533 | | SRI_Source Code Request to Symantec No. 283. F:\Perforce\docs_depot\depot\core_component\gndc\ halon\documentation\blackbird event metadata specification.htm,v | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1209 |
| PTX- | 534 | | SRI_Source Code Request to Symantec No. 284. F:\perforce\docs_depot\depot\enterprise_licensing\ma ster_rbis_docs\ursamajor_analysis.doc,d\1.1.zip | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1210 |

| Final Ex. No. | | BATES RANGE | DOCUMENT DESCRIPTION | Symantec's Objections | Basis for Admission: Response to Symantec's Objections | ISS's objections | Basis for Admission: Response to ISS's Objections | Prev. PTX No. |
|---------------|-----|-------------|--|-----------------------|--|----------------------------|---|------------------|
| PTX- | 535 | | SRI_Source Code Request to Symantec No.285. F:\perforce\docs_depot\depot\enterprise_licensing\master_rbis_docs\ursamajor_analysis.doc,d\1.2.zip | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1211 |
| PTX- | 536 | | SRI_Source Code Request to Symantec No.287. /home/cvs/manhunt/src/patch/lu/4/patch/nss/bb-config.xml | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1213 |
| PTX- | 537 | | SRI_Source Code Request to Symantec No.288. /home/cvs/manhunt/src/bin/sef_bl/sef_bl.c | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1214 |
| PTX- | 538 | | SRI_Source Code Request to Symantec No.289. /home/cvs/manhunt/src/bin/sef_bl/README | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1215 |
| PTX- | 539 | | SRI_Source Code Request to Symantec No.290. /home/cvs/manhunt/src/bin/sef_bl/README-INTERNAL | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1216 |
| PTX- | 540 | | SRI_Source Code Request to Symantec No. 291. /manhunt/src/java/com/recourse/manhunt/analysis/event/Incident.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1217 |
| PTX- | 541 | | SRI_Source Code Request to Symantec No. 292. /manhunt/src/java/com/recourse/manhunt/analysis/event/ActiveIncidents.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1218 |
| PTX- | 542 | | SRI_Source Code Request to Symantec No.293. /manhunt/src/java/com/recourse/manhunt/analysis/event/EventCorrelator.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1219 |
| PTX- | 543 | | SRI_Source Code Request to Symantec No. 294. /manhunt/src/java/com/recourse/manhunt/analysis/event/XNodeHandler.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1220 |
| PTX- | 544 | | SRI_Source Code Request to Symantec No. 295. /manhunt/src/java/com/recourse/manhunt/gui/refine/DefineActiveTableModel.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1221 |

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|---------------|-----|-----------------|---|-----------------------|--|----------------------------|---|------------------|
| PTX- | 545 | | SRI_Source Code Request to Symantec No. 296. /manhunt/src/java/manager/src/com/symantec/sns/af/ SecEventReceiver.java | | | 105, 402, 403, 802, 901(a) | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1222 |
| PTX- | 546 | SYM_SC_297_1-8 | Request #297 SIM_3.0/com/symantec/serviets/CorrelateEventComa nd.java | | | 105, 402, 403, 802, 901 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1331 |
| PTX- | 547 | SYM_SC_298_1-63 | Request #298 SIM_3.0/xml/rule_editor/RuleEditorConfiguration.x ml | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1332 |
| PTX- | 548 | SYM_SC_299_1-4 | Request #299 SIM_3.0/com/symantec/lmr/bl/Correlation.java | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1333 |
| PTX- | 549 | SYM_SC_300_1-3 | Request #300 SSIM4.0/server/startup-correlation- engine.xml | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1334 |
| PTX- | 550 | SYM_SC_301-3 | Request #301 SSIM4.0/server/src/xml/rules/Extnet_Port_Sweep_ Detector.xml | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1335 |
| PTX- | 551 | SYM_SC_302_1-16 | Request #302 SSIM4.0/server/src/java/com/symantec/slm/Correlati onManager.java | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1336 |
| PTX- | 552 | SYM_SC_303_1-11 | Request #303 SSIM4.0/server/src/ | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1337 |
| PTX- | 553 | SYM_SC_304_1-2 | Request #304 SSIM4.0/server/src/content/rules/Attempted_DNS_E xploit.xml | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1338 |
| PTX- | 554 | SYM_SC_305_1-2 | Request #305 SSIM4.0/server/src/content/rules/Attempted_FTP_Ex ploit.xml | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1339 |
| PTX- | 555 | SYM_SC_306_1-2 | Request #306 SSIM4.0/server/src/content/Attempted_Service_Expl oit.xml | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1340 |

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|---------------|-----|-----------------|--|-----------------------|--|-------------------------|---|------------------|
| PTX- | 556 | SYM_SC_307_1-2 | Request #307 SSIM4.0/server/src/content/rules/Distributed_DoS_H ight_Volume.xml | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1341 |
| PTX- | 557 | SYM_SC_308_1-2 | Request #308 SSIM4.0/server/src/content/rules/DoS_High_Volume .xml | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1342 |
| PTX- | 558 | SYM_SC_309_1-2 | Request #309 SSIM4.0/server/src/content/rules/Externet_Port_Swe ep.xml | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1343 |
| PTX- | 559 | SYM_SC_310_1 | Request #310 SSIM4.0/server/src/content/rules/One_Shot_DoS.xml | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1344 |
| PTX- | 560 | SYM_SC_311_1-2 | Request #311 SSIM4.0/server/src/content/rules/Password_Guessing _Attack.xml | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1345 |
| PTX- | 561 | SYM_SC_312_1-3 | Request #312 SSIM4.0/server/src/content/rules/Policy_Compliance _Violation.xml | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1346 |
| PTX- | 562 | SYM_SC_313_1-2 | Request #313 SSIM4.0/server/src/content/rules/Scan_Followed_By _Exploit.xml | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1347 |
| PTX- | 563 | SYM_SC_314_1-14 | Request #314 SSIM4.0/simapl/src/java/com/symantec/sim/apl/plugi ns/Rule.java | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1348 |
| PTX- | 564 | SYM_SC_315_1-7 | Request #315 SSIM4.0/simapl/src/java/com/symantec/sim/apl/plugi ns/RuleConfig.java | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1349 |
| PTX- | 565 | SYM_SC_316_1 | Request #316 SSIM4.0/simapl/src/java/com/symantec/sim/apl/plugi ns/EventStats.java | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1350 |
| PTX- | 566 | SYM_SC_317_1-10 | Request #317 SSIM4.0/simapl/src/java/com/symantec/sim/message s/Conclusion.java | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1351 |
| PTX- | 567 | SYM_SC_318_1 | Request #318 SSIM4.0/simapl/src/java/com/symantec/sim/apl/mes sages/ConclusionCorrelationStrategy.java | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1352 |
| PTX- | 568 | SYM_SC_319_1-7 | Request #319 SSIM4.0/simapl/src/java/com/symantec/sim/apl/util/ ConclusionCorrelator.java | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1353 |

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|---------------|-----|---------------------------------|---|---|--|-------------------------|---|------------------|
| PTX- | 569 | SYM_SC_320_1-8 | Request #320 SSIM4.0/incidentManager/src/java/com/symantec/si m/servlets/CorrelateEventsCommand.java | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1354 |
| PTX- | 570 | SYM_SC_321_1-8 | Request #321 SSIM4.0/IncidentManager/src/java/com/symantec/si m/servlets/MRController.java | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1355 |
| PTX- | 571 | SYM_SC_322_1-3 | Request #322 SSIM4.0/IncidentManager/src/java/com/symantec/si m/servlets/ConclusionCommand.java | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1356 |
| PTX- | 572 | SYM_SC_323_1-2 | Request #323 SSIM4.0/IncidentManager/src/java/com/symantec/si m/servlets/CorrelationRulesCommand.java | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1357 |
| PTX- | 573 | SYM_SC_324_1-4 | Request #324 SSIM4.0/server/startup-with-stats.xml | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1358 |
| PTX- | 574 | SYM_SC_325_1-3 | Request #325 sugarbush/sources/driver/rmNDC/gndc_mod_main.c | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1359 |
| PTX- | 575 | SYM_SC_326_1 | Request #326 sugarbush/sources/driver/gNDC/gndc_mod_support. h | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1360 |
| PTX- | 576 | SYM_SC_327_1-2 | Request #327 sugarbush/sources/driver/Gndc/gndc_mod.h | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1361 |
| PTX- | 577 | SYM_SC_328_1-14 | Request #328 sugarebush/sources/driver/lds/loader.c | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1362 |
| PTX- | 578 | SYM_SC_330_1-15 | Request #330 /cvs/manhunt/flownav/fds/fds.c | | | 402, 901, 802, 403, 105 | 401; 402; 801; 803; 807; 902; PTO para. 24 | 1363 |
| PTX- | 579 | SYM_P_0283498- SYM_P_0283505 | Chapter 1: Introducing Symantec Security Information Manager | 106 (missing 1st page SYM_P_0283497) | SRI looking into this | 105, 402, 403, 802, 901 | 401, 402, 801, 803, 807, 901, PTO Para. 24 | |
| PTX- | 580 | ISS56488-ISS56509 | ISS Financial documents identified in response to SRI interrogatory 9. | 402, 403, 105, 802 | 401, 402, 801, 803, 807, PTO Para. 24 | 105, 402, 403 | 401, 402 | |
| PTX- | 581 | ISS82085- ISS82105 | ISS Financial documents identified in response to SRI interrogatory 9. | 402, 403, 105, 802 | 401, 402, 801, 803, 807, PTO Para. 24 | 105, 402, 403 | 401, 402 | |
| PTX- | 582 | ISS82749- ISS82849 | ISS Financial documents identified in response to SRI interrogatory 9. | 402, 403, 105, 802 | 401, 402, 801, 803, 807, PTO Para. 24 | 105, 402, 403 | 401, 402 | |
| PTX- | 583 | ISS82850- ISS82862 | ISS Financial documents identified in response to SRI interrogatory 9. | 402, 403, 105, 802 | 401, 402, 801, 803, 807, PTO Para. 24 | 105, 402, 403 | 401, 402 | |
| PTX- | 584 | ISS02128072- ISS02128123 | Updated Financial Information for ISS's Proventia ADS product | 402, 403, 105, 802 | 401, 402, 801, 803, 807, PTO Para. 24 | 105, 402, 403 | 401, 402 | |

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| PTX- | 585 | ISS02128124- ISS0218126 | Updated Financial Information for ISS's Proventia ADS product | 402, 403, 105, 802 | 401, 402, 801, 803, 807, PTO Para. 24 | 105, 402, 403 | 401, 402 | |
| PTX- | 586 | ISS02128127 | CD containing updated ISS financial information and any further financial information produced by ISS | 402, 403, 105, 802 | 401, 402, 801, 803, 807, PTO Para. 24 | 105, 402, 403 | 401, 402 | |
| PTX- | 587 | SRIE 0394892 | Email exchange between Patrick Lincoln and Phillip Porras re ID IP issues, September 5, 1998 | 802, 402, 403, 106 | 401, 402, 801, 803, Para. 24 | 106, 402, 403, 802 | 401, 402, 801, 803, Para. 24 | |
| PTX- | 588 | SRIE 0051700 | Email exchange between Phillip Porras and Thomas Svensson re Live traffic analysis, September 8, 1997 | 901, 802, 402, 403 | 401, 402, 801, 803, 901, Para. 24 | 402, 403, 802, 901 | 401, 402, 801, 803, Para. 24 | |
| PTX- | 589 | SRIE 0053511 | Email from Phillip Porras to Peter Neumann and secprv@wdl.lmco.com, December 2, 1996 | 802, 402, 403 | 401, 402, 801, 803, Para. 24 | 402, 403, 802 | 401, 402, 801, 803, Para. 24 | |
| PTX- | 590 | SRIE 0053523 | Email from Peter Nuemann to Phillip Porras re conclusions, December 2, 1996 | 802, 402, 403 | 401, 402, 801, 803, Para. 24 | 402, 403, 802 | 401, 402, 801, 803, Para. 24 | |
| PTX- | 591 | SRI 150565-579 | Paper submission to the 1998 ISOC Symposium on Network and Distributed System Security | 602, 802 | 801, 803, PTO Para. 24 | 602, 802 | 801, 803, PTO Para. 24 | |
| PTX- | 592 | SRI105589-105609 | EMERALD Event Monitoring Enabling Responses to Anomalous Live Disturbances, DARPA Contract No. F30602-96-C-0264, 5 Febraury [sic] 1997 | 105, 802 | 801, 803, PTO Para. 24 | 105, 802 | 801, 803, PTO Para. 24 | |
| PTX- | 593 | SRIE 0053253 | E-mail from Phillip Porras to Jonathan Wood re stuff, January 6, 1997 | 105, 802 | 801, 803, PTO Para. 24 | 105, 802 | 801, 803, PTO Para. 24 | |
| PTX- | 594 | SRIE 0053201 | E-mail exchange between Phillip Porras, Peter Neumann, and brd@bigbrd.incog.com re Meeting, January 8, 1997 | 105, 802 | 801, 803, PTO Para. 24 | 105, 802 | 801, 803, PTO Para. 24 | |
| PTX- | 595 | SRIE 0051584 | E-mail exchange between Phillip Porras and Nina Lewis re Morning, January 14, 1997 | 105, 802 | 801, 803, PTO Para. 24 | 105, 802 | 801, 803, PTO Para. 24 | |
| PTX- | 596 | SRIE 0053363 | E-mail from Phill Porras to Kemm@cs.ucsb.edu re intrusion detection, December 17, 1996 | 105, 802 | 801, 803, PTO Para. 24 | 105, 802 | 801, 803, PTO Para. 24 | |
| PTX- | 597 | SRIE 0053367 | E-mail from Phillip Porras to Peter Neumann and brd@bigbrd.incog.com re Research Paper, December 17, 1996 | 105, 802 | 801, 803, PTO Para. 24 | 105, 802 | 801, 803, PTO Para. 24 | |

NT: Not timely. Produced after the close of
discovery on June 2, 2006.

NP: Not timely. Never produced and not
previously disclosed.

DI: Document inadequately identified.

DM: SRI's description mischaracterizes the
document found at the indicated bates range.

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|---------------|-------------|----------------------|-----------------------|--|------------------|---|------------------|
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Where the bates range and SRI's description
did not agree, Symantec's objections
are to the document found at the indicated
bates range.